

Quantum Plasmonics: Plasmon Enhanced Electron Transfer and Light Harvesting

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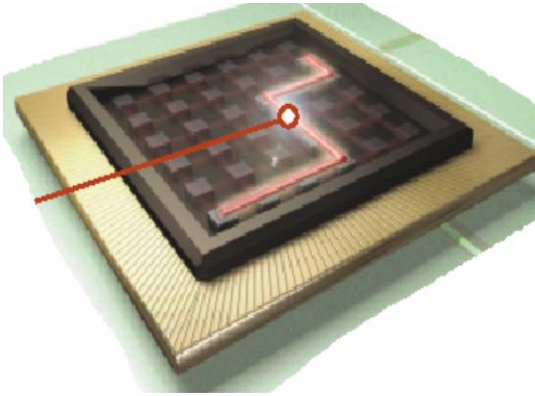
University of Paris-Sud, France 6/21 2013



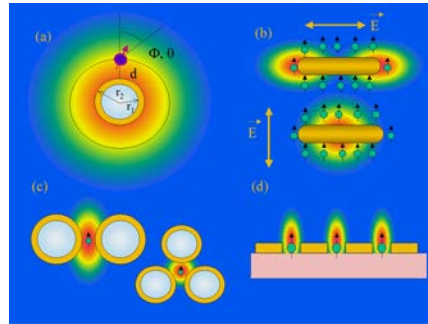
Plasmonics

Plasmons provide the mechanism for manipulating light at the nanoscale

Plasmonic waveguides

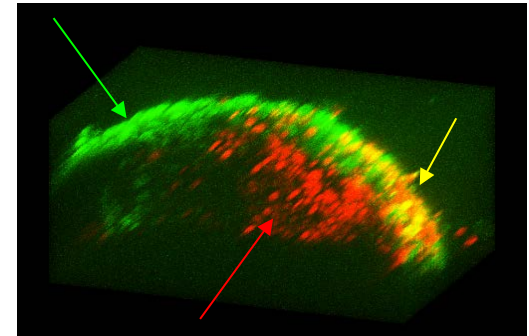


Plasmon enhanced spectroscopies



Plasmonic “hot spots”

Plasmonics in biomedicine

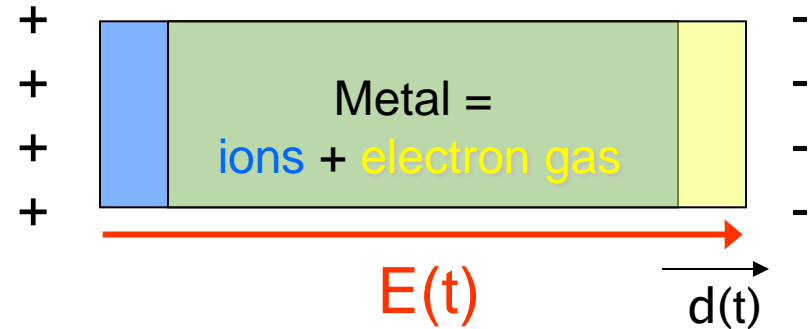


Outline

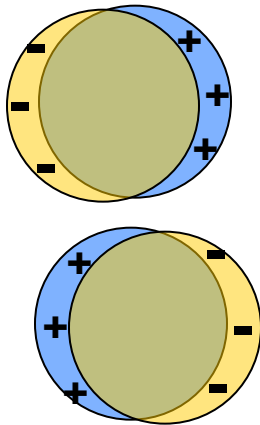
- Introduction
- Quantum plasmonics
- Quantum Plexcitonics
- Plasmon-enhanced Light Harvesting

Localized Surface Plasmons (LSPR)

Plasmons are incompressible oscillations of the conduction electron liquid

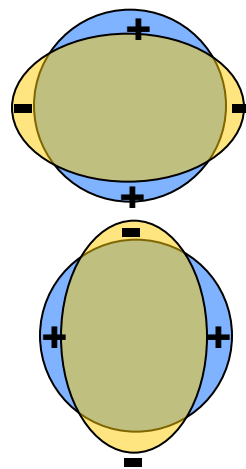


Dipolar



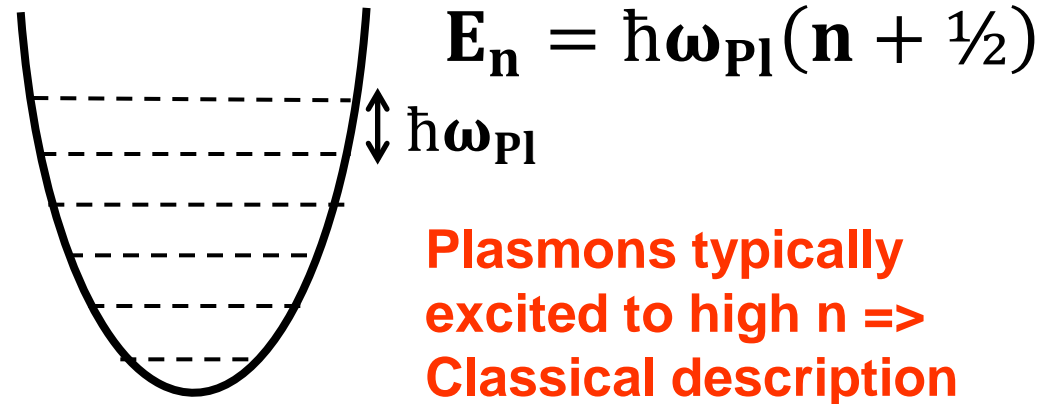
Bright
(superradiant)

Quadrupolar



Dark
(subradiant)

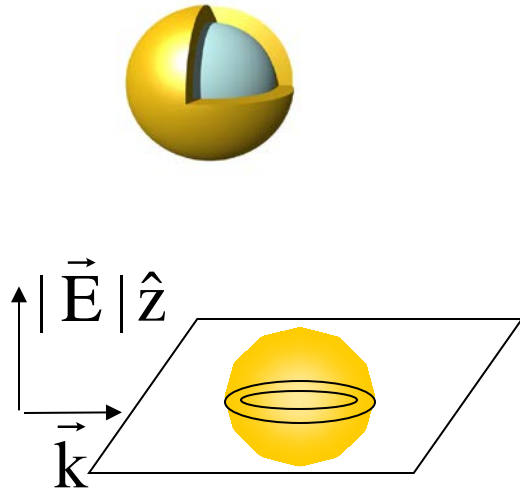
All conduction electrons are moving: Strong light coupling



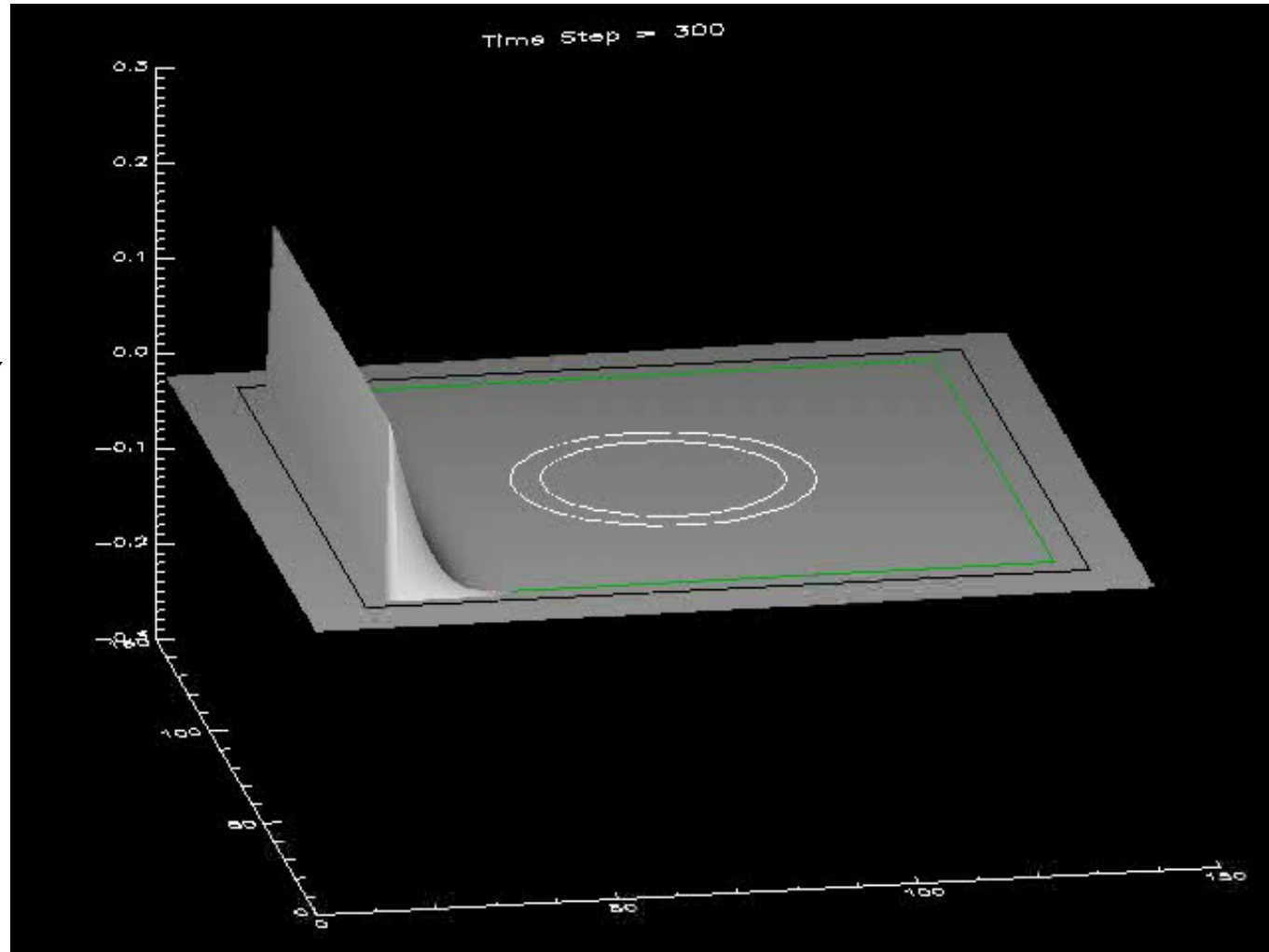
Plasmons typically excited to high $n \Rightarrow$ Classical description

For resonant excitation, amplitude of motion becomes large. Large surface charges and field enhancements

Plasmons in real time, FDTD Simulations

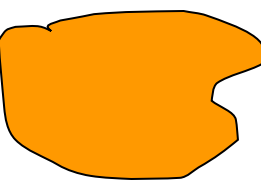


Electromagnetic pulse hitting an Au(40,50)nm nanoshell

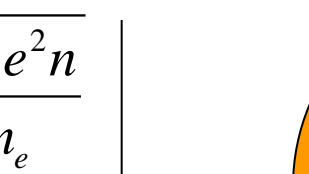


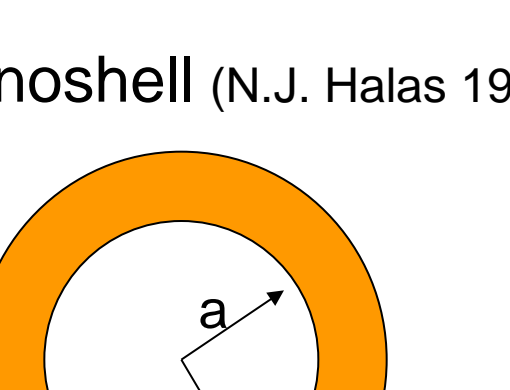
Many different nanoshell plasmons are excited

The plasmon energies of a nanoparticle depend on its shape!

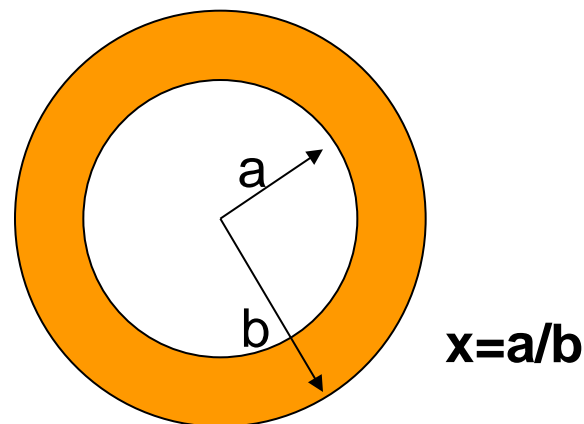
Bulk:  $\omega_B = \sqrt{\frac{4\pi e^2 n}{m_e}}$

Surface:  $\omega_{surf} = \frac{\omega_B}{\sqrt{2}}$

Sphere:  $\omega_{S,l} = \omega_B \sqrt{\frac{l}{2l+1}}$

Cavity:  $\omega_{C,l} = \omega_B \sqrt{\frac{l+1}{2l+1}}$

Nanoshell (N.J. Halas 1998)



Nanorods

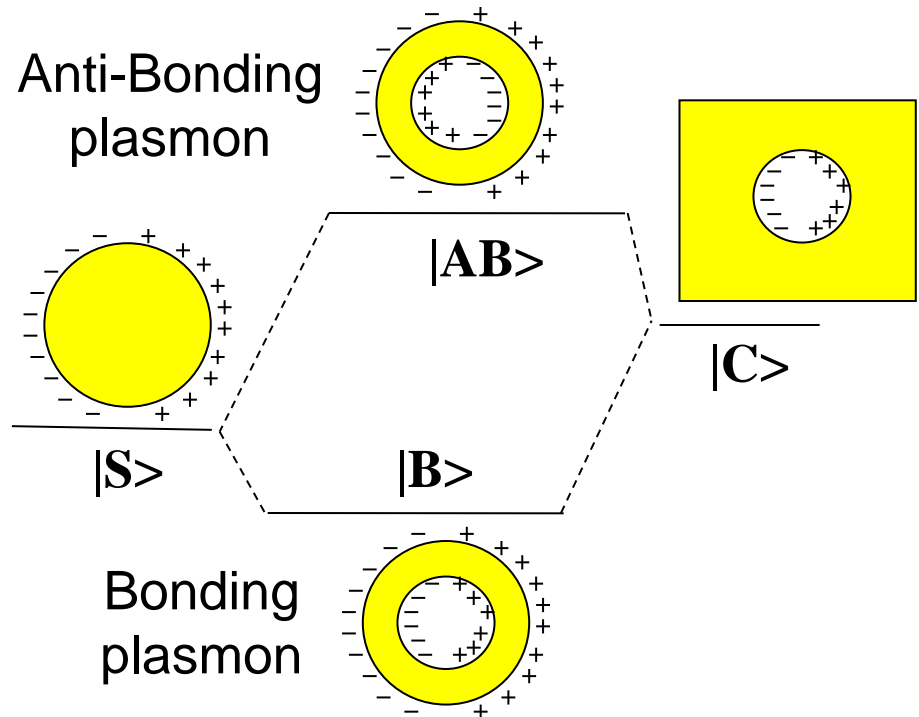
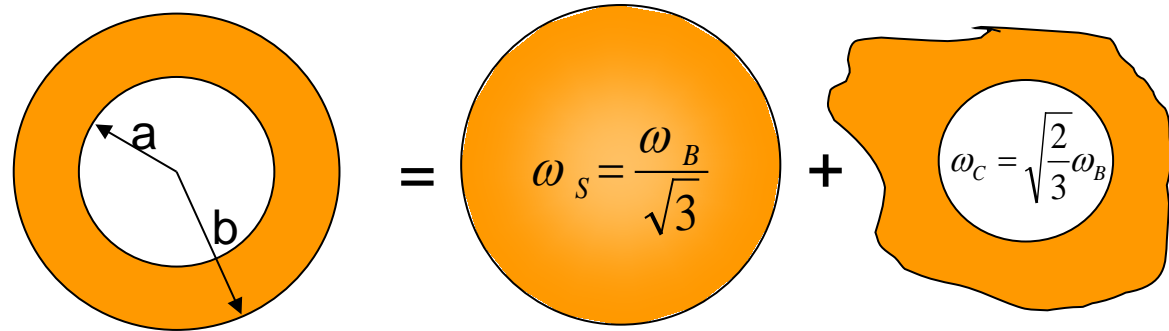
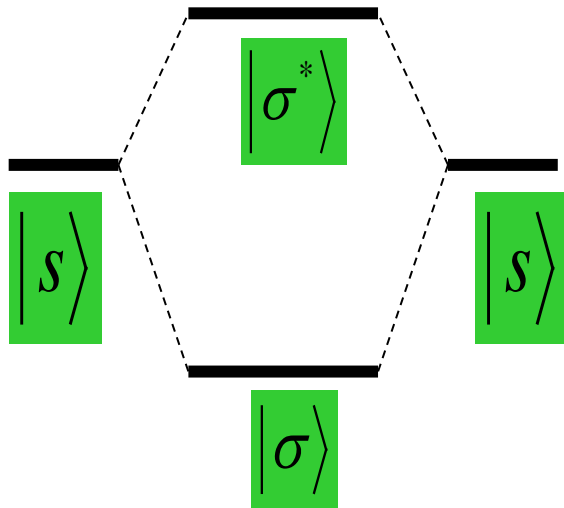
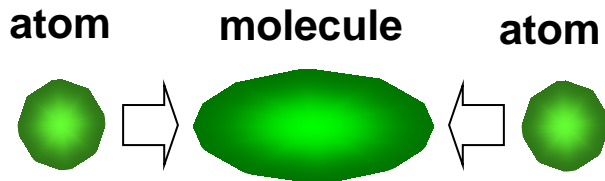


Plasmon energies depend on aspect ratio x and can be tuned from UV to the IR

Physical origin of the tunability of nanoshell plasmons

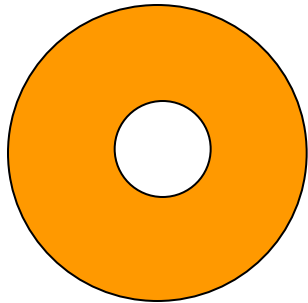
Plasmon Hybridization (Prodan *et al.*, Science 302(2003)319)

Plasmons on different surfaces interact and hybridize like atomic orbitals in molecules

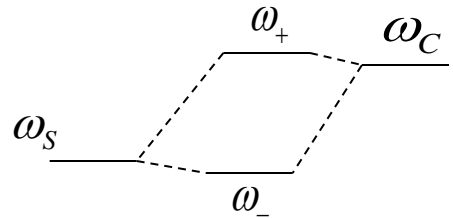


Tunability of Nanoshells

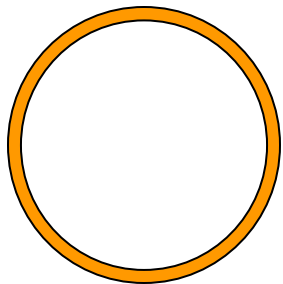
Analogy with molecular orbital theory provides simple and intuitive understanding of plasmons in composite nanoparticles.



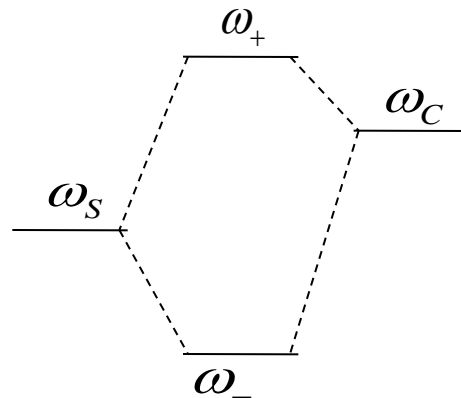
Thick shell => weak interaction:



$$\omega_{l=1\pm} \xrightarrow{x \rightarrow 0} \frac{\omega_B}{\sqrt{2}} \sqrt{1 \pm \frac{1}{3}} = \begin{pmatrix} \omega_C \\ \omega_S \end{pmatrix}$$



Thin shell => strong interaction:



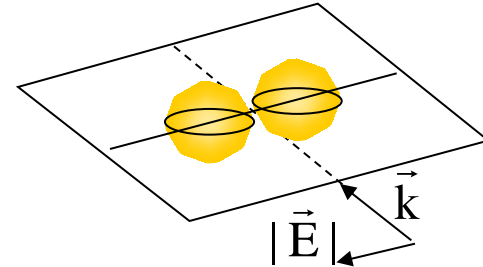
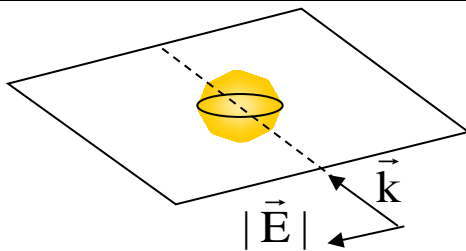
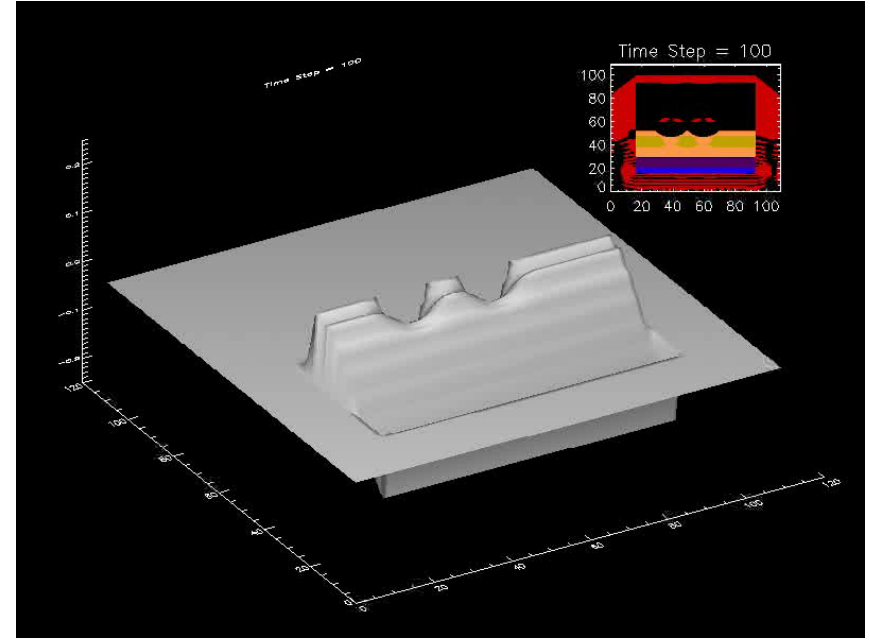
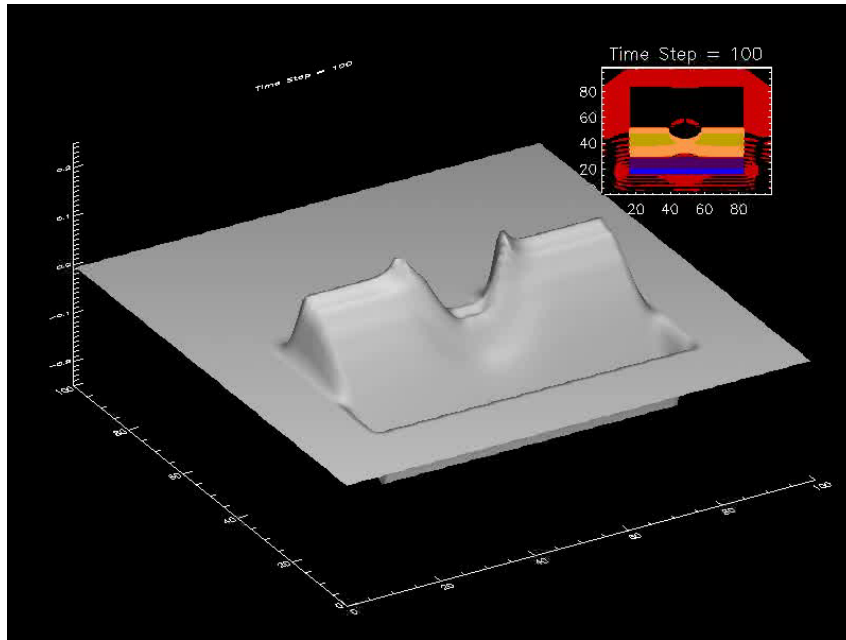
$$\omega_{l=1\pm} \xrightarrow{\Delta \rightarrow 0} \begin{pmatrix} \omega_B \\ \omega_B \sqrt{\frac{2\Delta}{3}} \end{pmatrix} \quad \text{where} \quad x = \frac{a}{b} = 1 - \Delta$$

The ω_- plasmon can be tuned from far IR to UV

The coupling to light is proportional to the admixture of the $|S\rangle$ plasmon

“Hot Spots”: electromagnetic field enhancement in nanoparticle dimer junctions

Au sphere, $D=60$ nm; incident wavelength $\lambda=475$ nm ($l=1$ plasmon resonance)

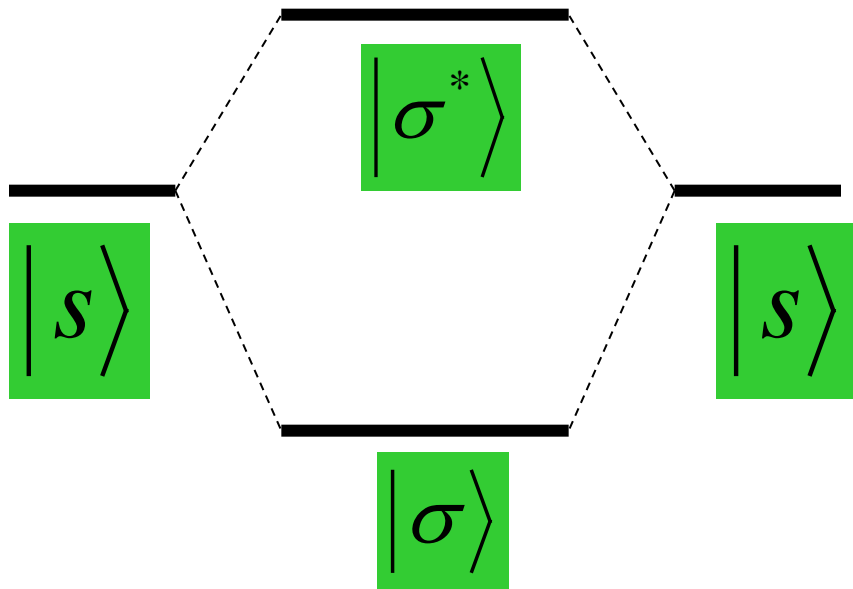
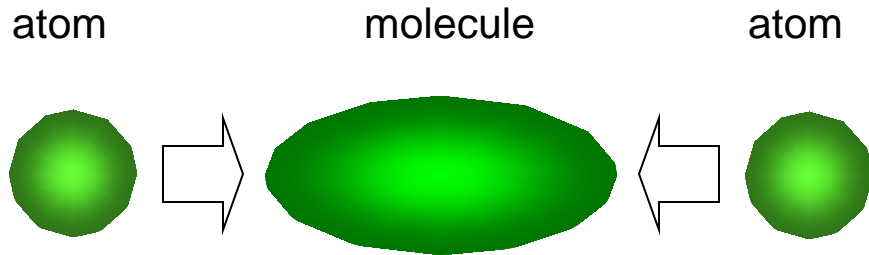


E field is enormously enhanced in the junction!

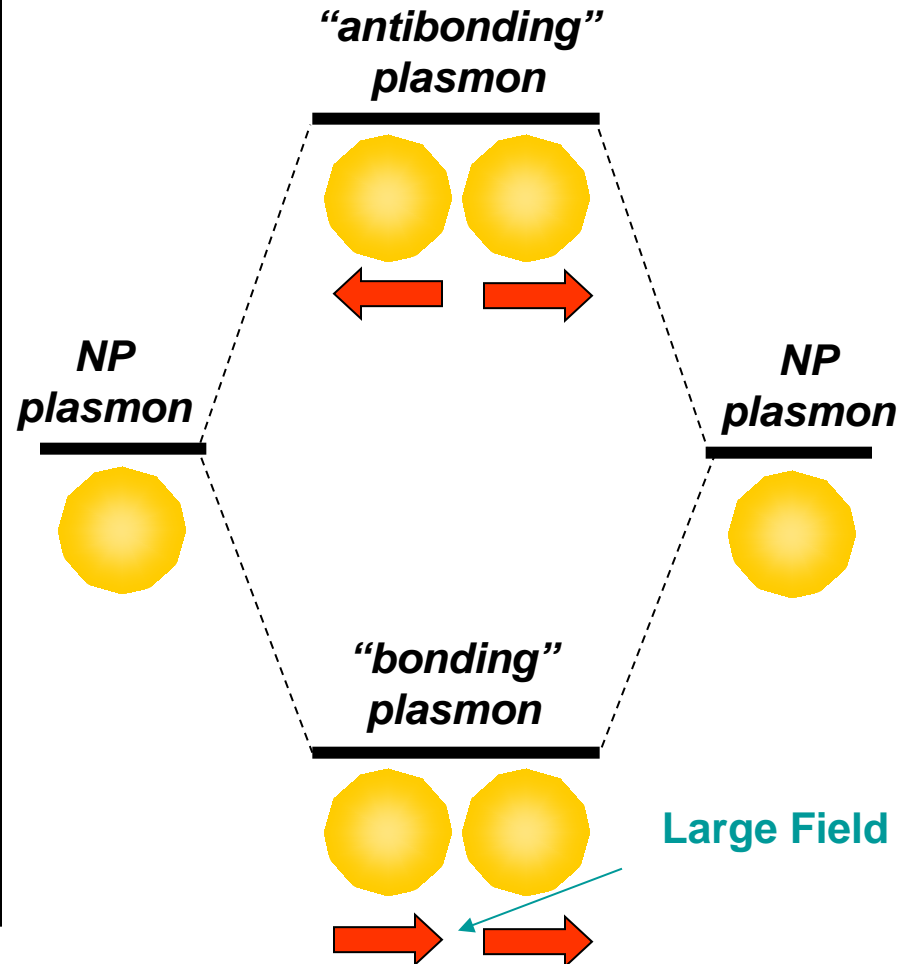
Enhancement is larger than sum of individual nanoparticles

=> Collective effect, Plasmon interactions!

Plasmon Hybridization (Prodan *et al*, Science 302(2003)319)



Nanoparticle "dimers"



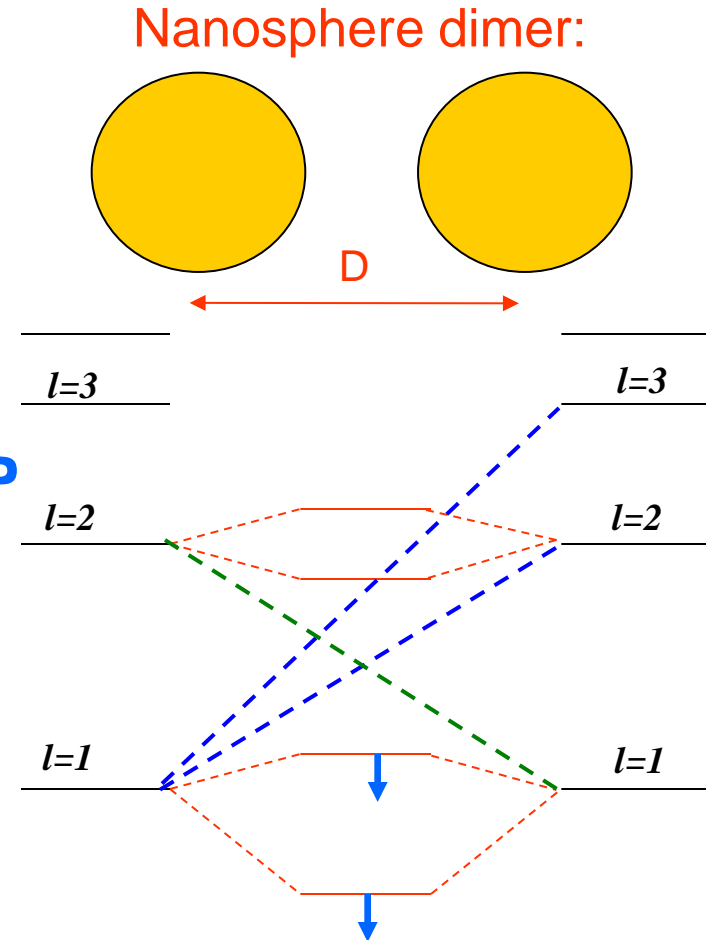
Nanoparticle dimers: Plasmon Hybridization

(Nordlander *et al.*, NL 4(2004)899)

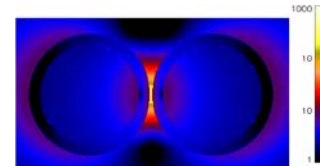
In the dimer geometry, NP plasmons of different l mix:

For large D , hybridization of modes of the same multipolar order l

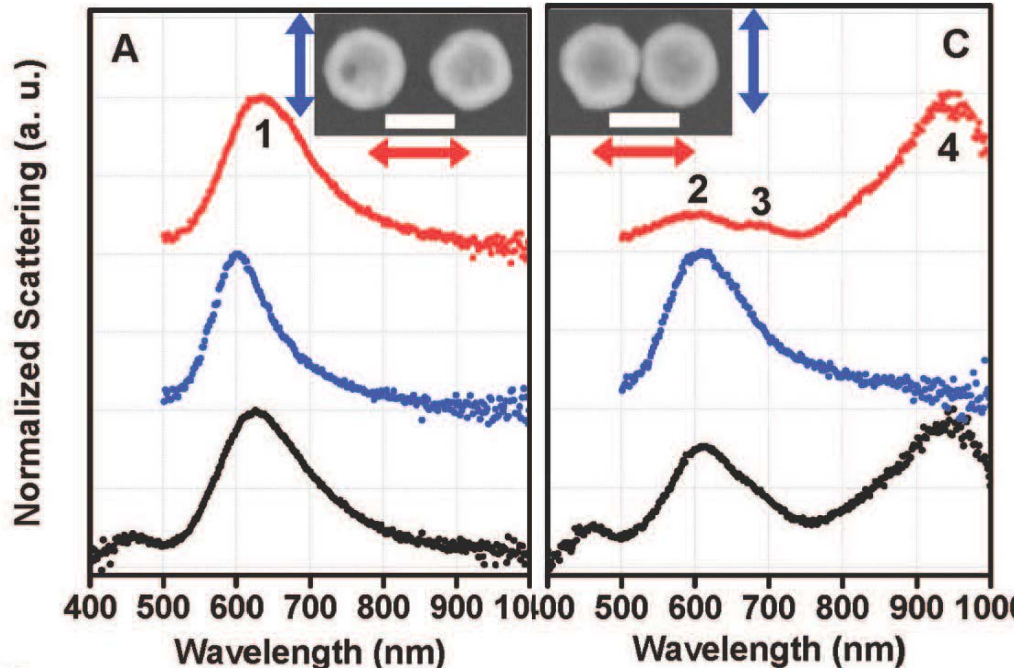
For small D , hybridization of NP plasmons with different l :
Multipolar modes appear and large fields are induced



The large field enhancements are caused by admixture of high l individual NP modes



Nanoparticle dimers



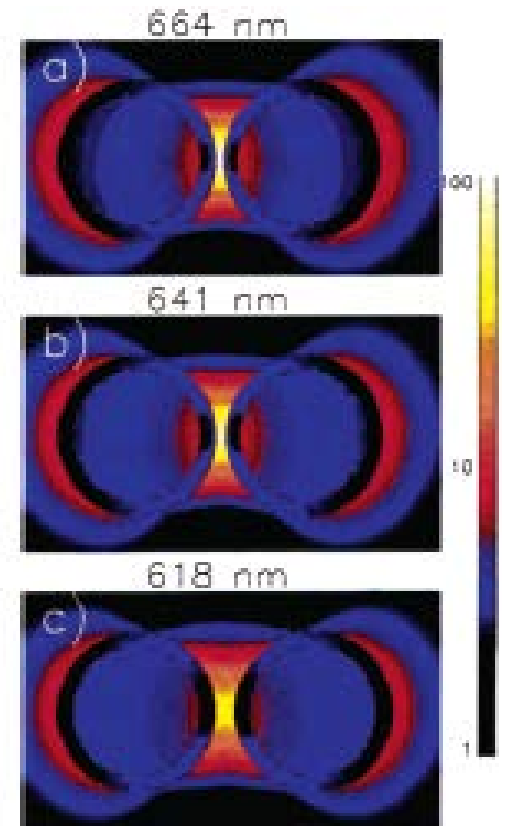
Lassiter *et al.*, NL 8 (2008)1212

Bonding dipole mode redshift and multipolar modes appear for small D

$d=4.5\text{nm}$

$d=6.0\text{nm}$

$d=12.0\text{nm}$



Oubre &PN, JPCB 109(2005)10042

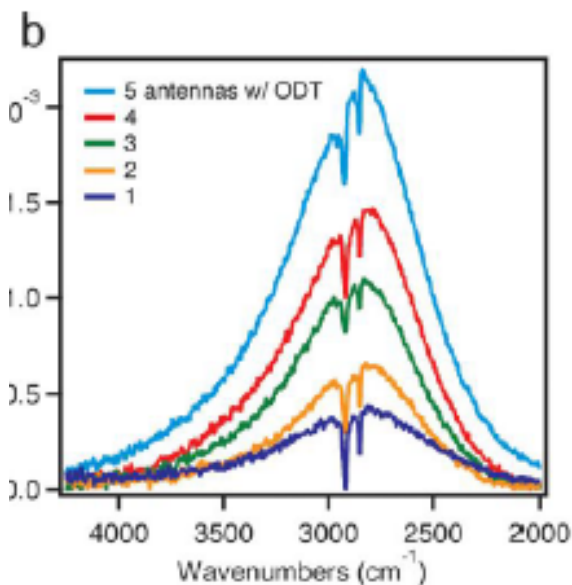
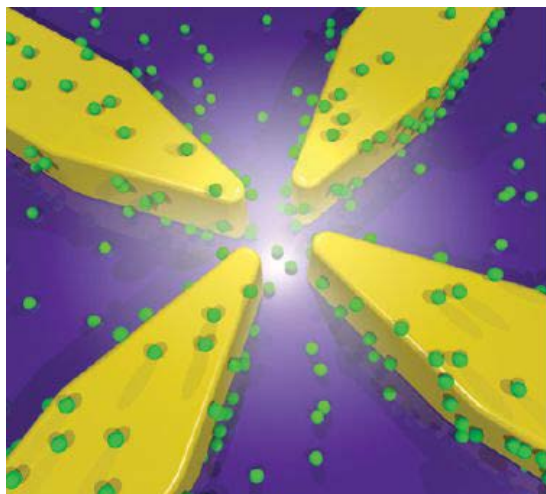
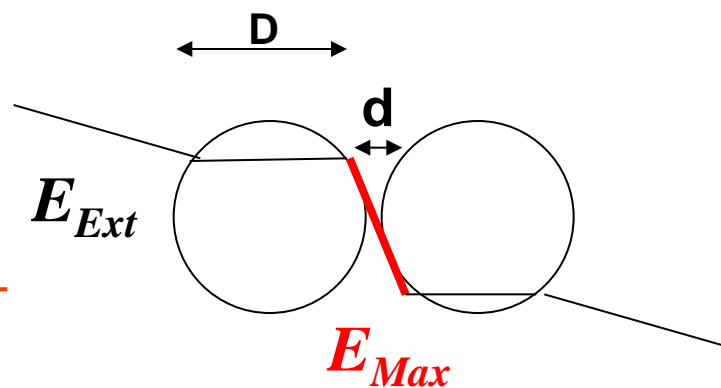
Large field enhancements for small D : Hot-Spots

The dimer geometry is the canonical structure for SERS

Surface Enhanced Infrared Absorption (SEIRA)

Field enhancement in the IR
due to (nonplasmonic)
lightning rod effect

$$E_{Max} = E_{Ext} \frac{2D + d}{d}$$



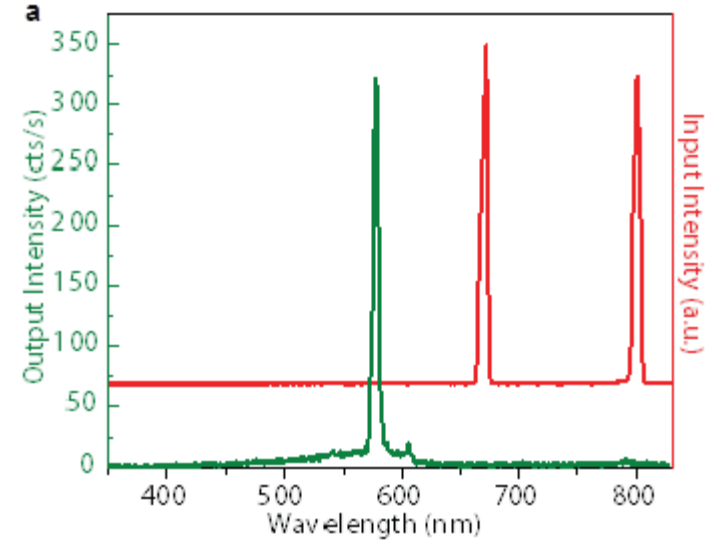
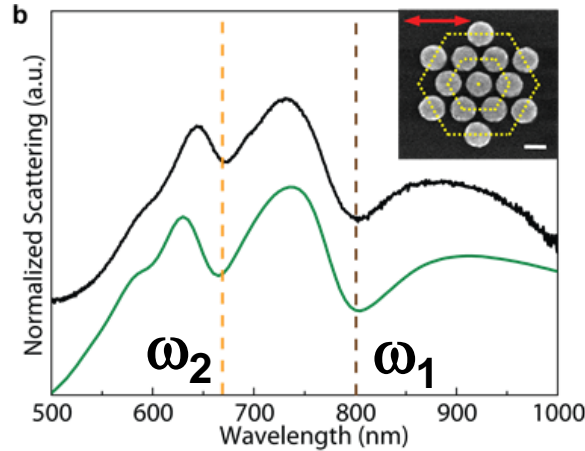
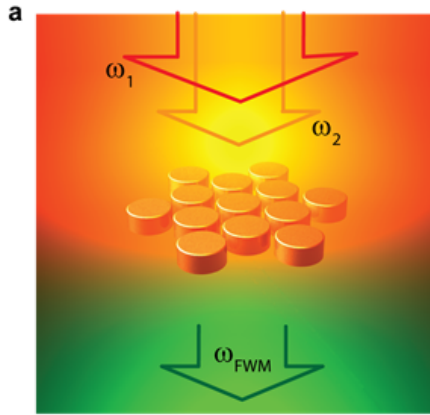
**SEIRA using
individual
nanoantenna**

L.V. Brown *et al.*, JACS 135(2013)3688

Plasmon-Enhanced 4-wave mixing

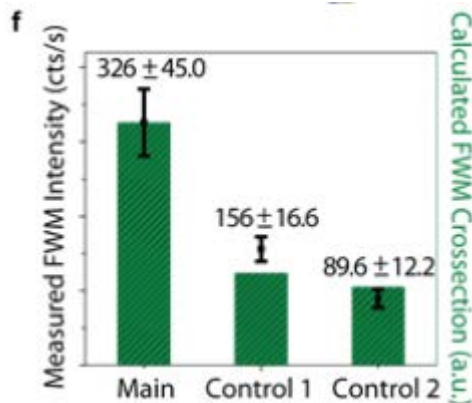
(Y. Zhang *et al.*, PNAS 110(2013)9215)

$$I(2\omega_2 - \omega_1) \propto |E(\omega_1)|^2 |E(\omega_2)|^4$$



Plasmonic oligomer with dual Fano resonances tuned to ω_1 and ω_2

$$\omega_{\text{FWM}} = 2\omega_2 - \omega_1$$



Control 1: only ω_1 tuned to FR

Control 2: only ω_2 tuned to FR

Main: Both ω_1 and ω_2 tuned to FRs
Strong enhancement!

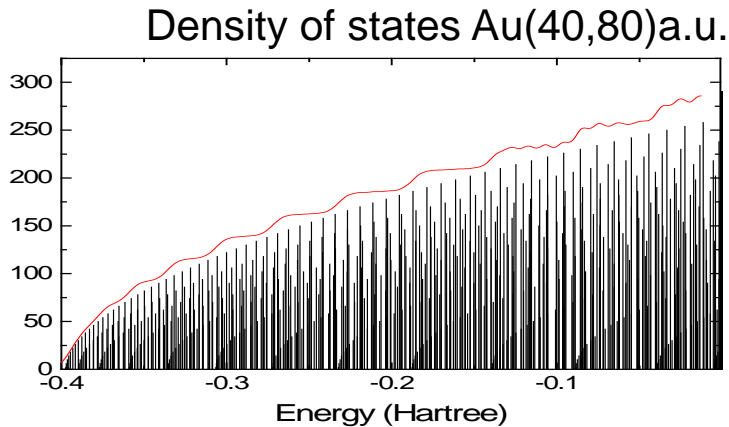
Quantum Plasmonics

- **Plasmon Tunability of metallic nanorods**
- **Electron tunneling in NP dimer: Charge transfer plasmons**
- **Nonlinear effects**
- Plasmon enhanced transport through conductive junctions
- Coupled Plasmonic and Excitonic systems: Quantum plexcitonics
- **Graphene plasmons**

**Time-dependent Local Density Approximation, RPA, jellium model,
Nonequilibrium Green functions, Anderson Model,**

Time Dependent Local Density Approximation TDLDA (Zangwill & Soven 1980)

1) Calculation of the electronic structure using the Local Density Approximation (LDA)

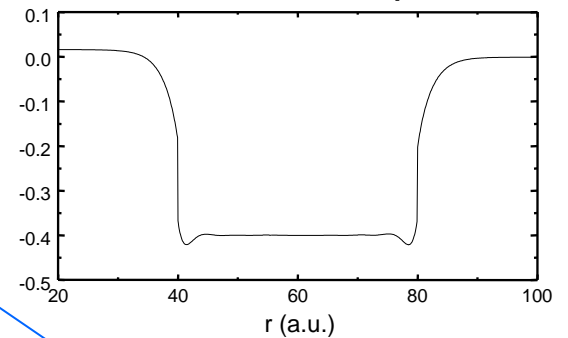


DOS is bulk like

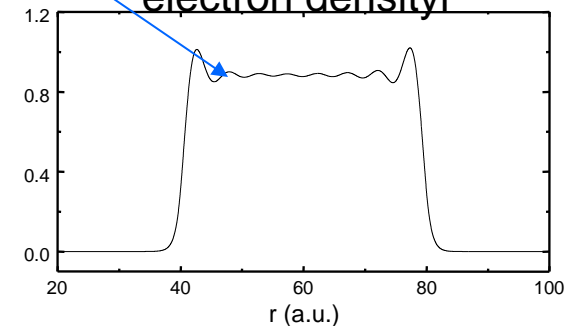
Prodan & PN, CPL 352(2002)140

Friedel oscillations
Influence plasmon
energies and optical
properties

Effective electron potential



electron density

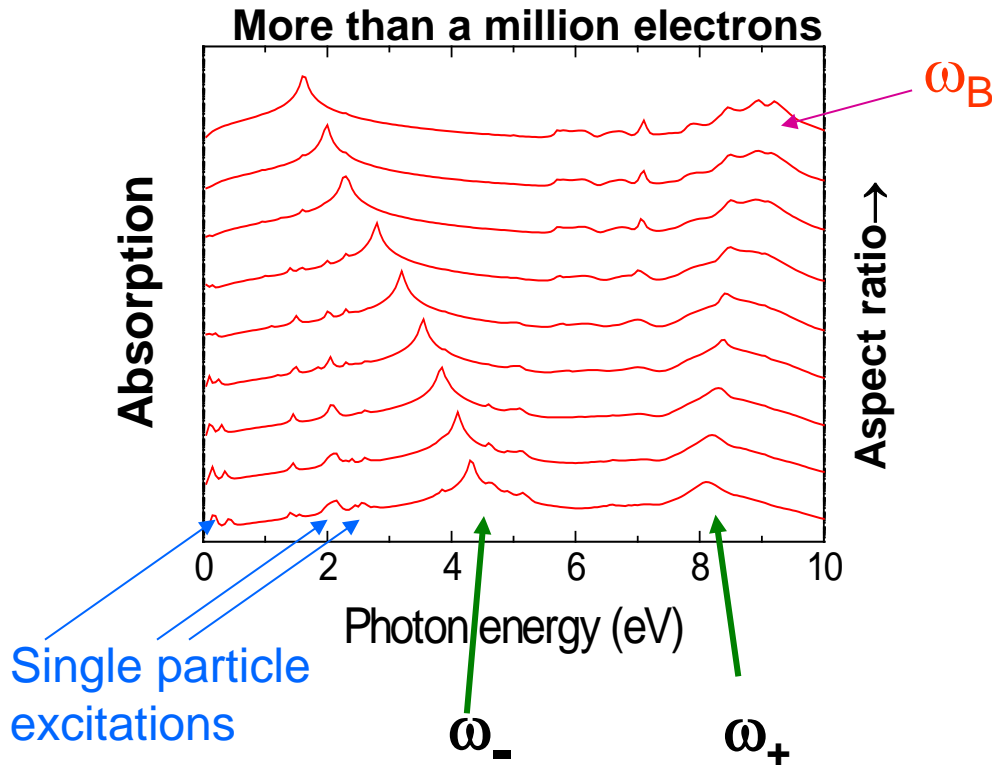


2) Calculation of the frequency dependent dielectric function using the Random Phase Approximation (RPA)

Efficient implementation on Beowulf cluster for nanoshells
with more than a million inequivalent electrons

Quantum Plasmonics: Nanoshells

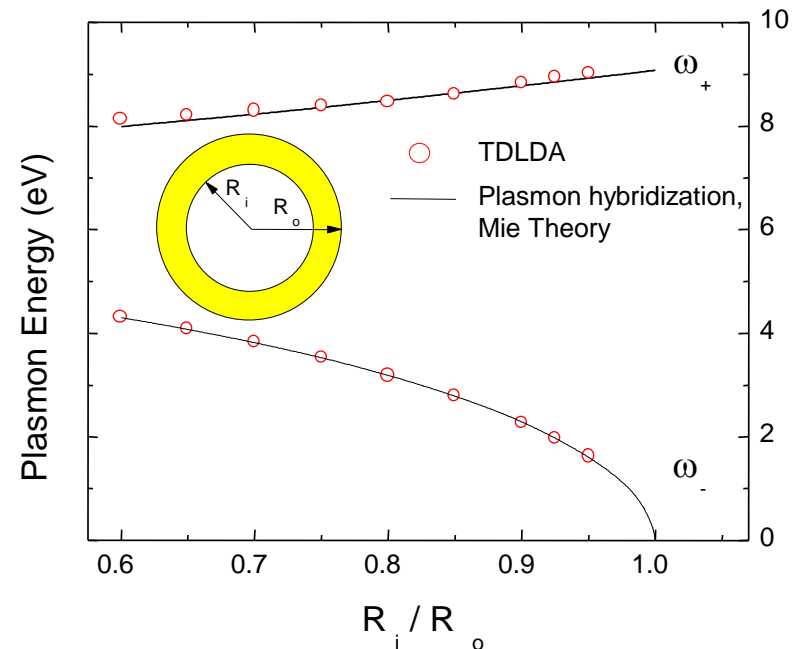
(Prodan & PN, CPL 360(2002)325, 368(2003)94, NL 3(2003)543, 1411)



The spectral features around ω_B are due to Friedel oscillations.

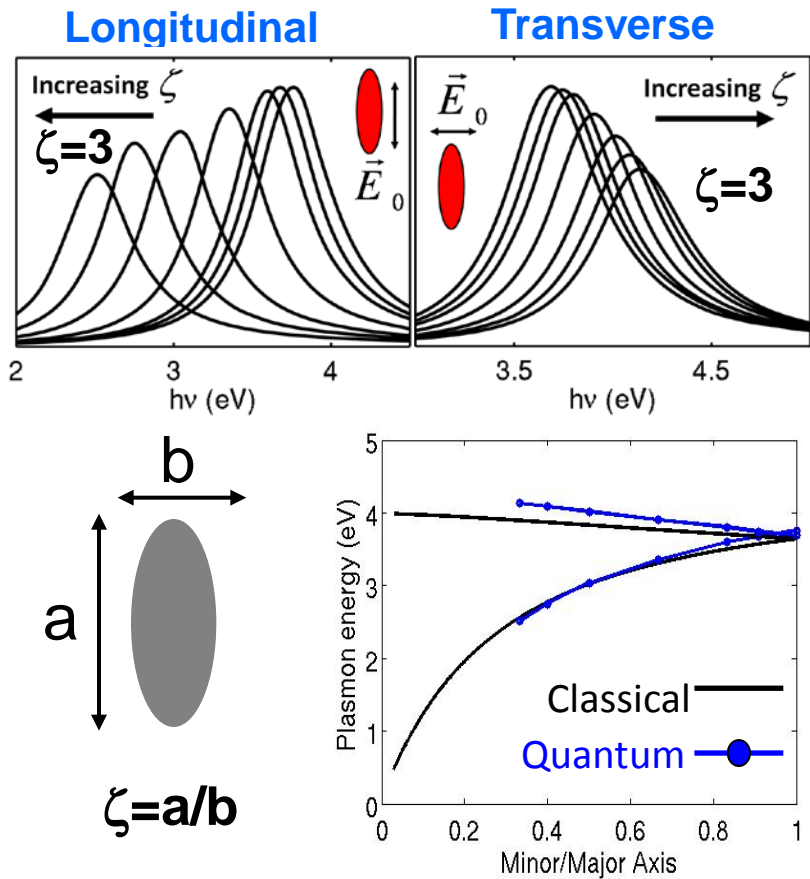
Excellent agreement between TDLDA, and Mie theory for ω_+ and ω_- modes

Tunability



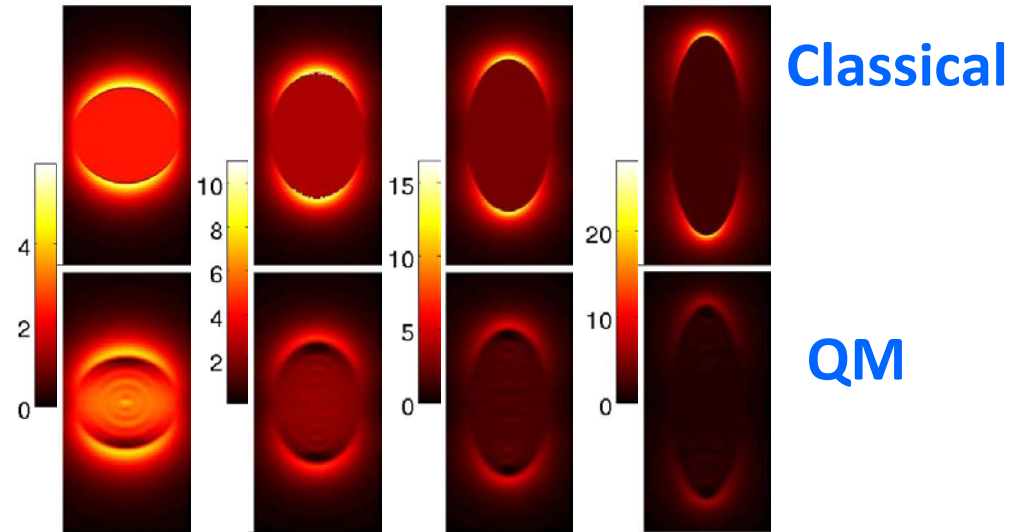
Quantum description of silver nanorod plasmons

(J. Zuloaga *et al.*, ACS Nano 4(2010)5269)



QM results for plasmon energies agree with classical theory!!

Field enhancements



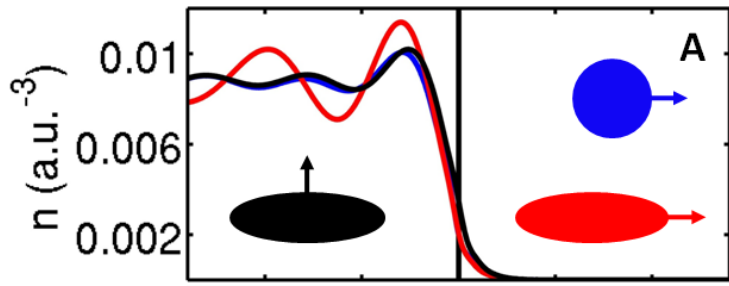
Quantum effects reduce the field enhancements near the NP surface

Enhancements agree beyond 0.5 nm

Physical origin of reduced field enhancements

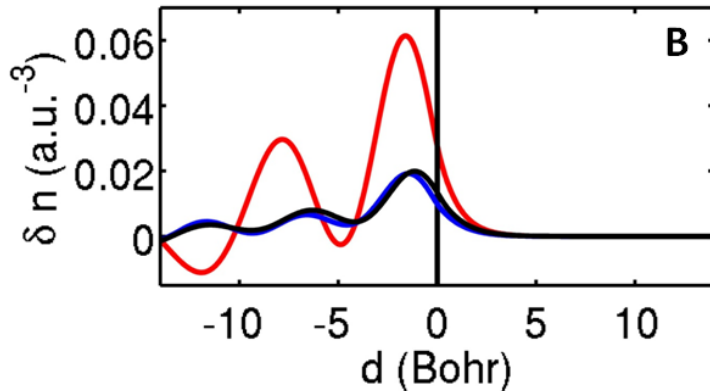
(J. Zuloaga et al., ACS Nano 4(2010)5269)

Equilibrium electron density $n(\vec{r})$



Electron density at NP surface varies continuously, not abruptly “spill-out”

Plasmon-induced electron density $\delta n(\vec{r})$

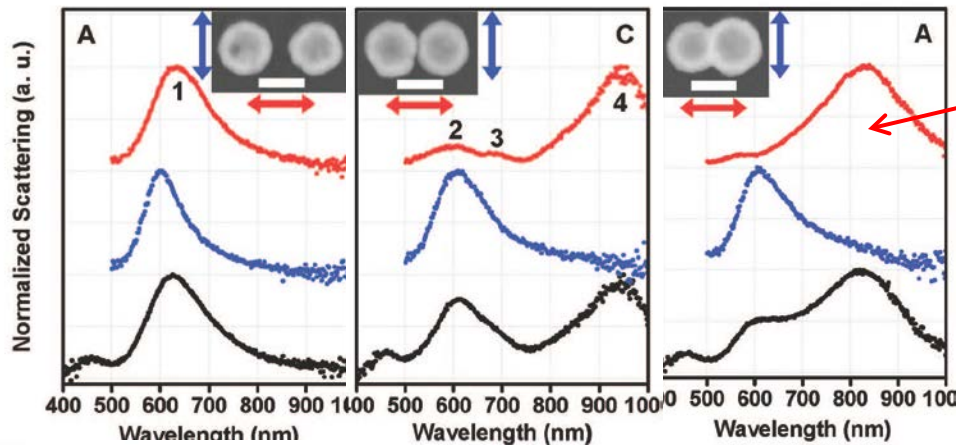


**Classical E&M predict $\delta n = \delta(d)$
QM calculation give smeared volume charge distribution**

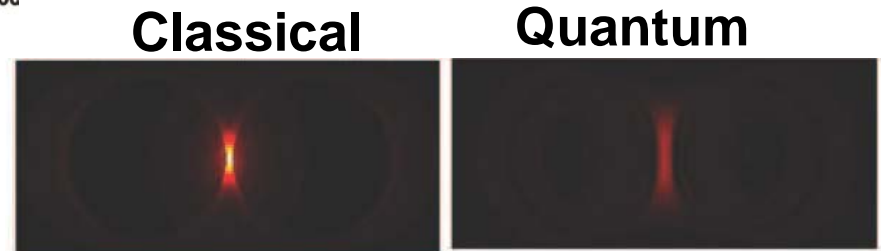
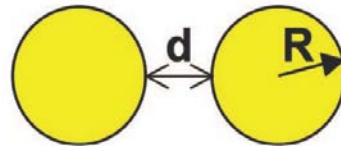
1 nm

The effect can be modeled using nonlocal (k-dependent) permittivity

Quantum Plasmonics: Charge Transfer Plasmons (CTP)



When nanoparticles near each other, a CTP appears
 J.B. Lassiter *et al.*,
 Nano Lett. 8 (2008)1212

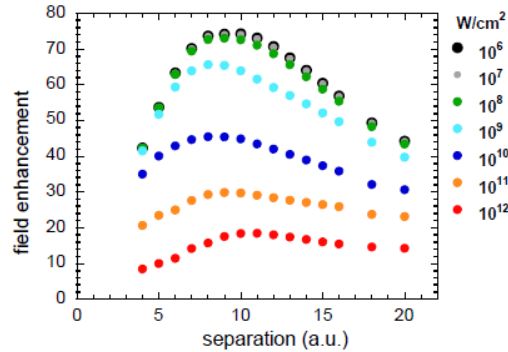
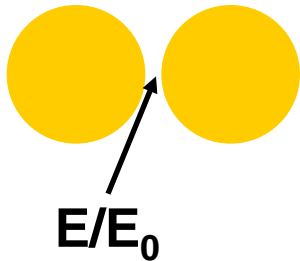


Quantum calculations show a CTP for $d < 1 \text{ nm}$. Strong reduction of the field Enhancement. **CANNOT be modeled using nonlocal description**

J. Zuloaga *et al.*, Nano Lett. 9(2009)887;

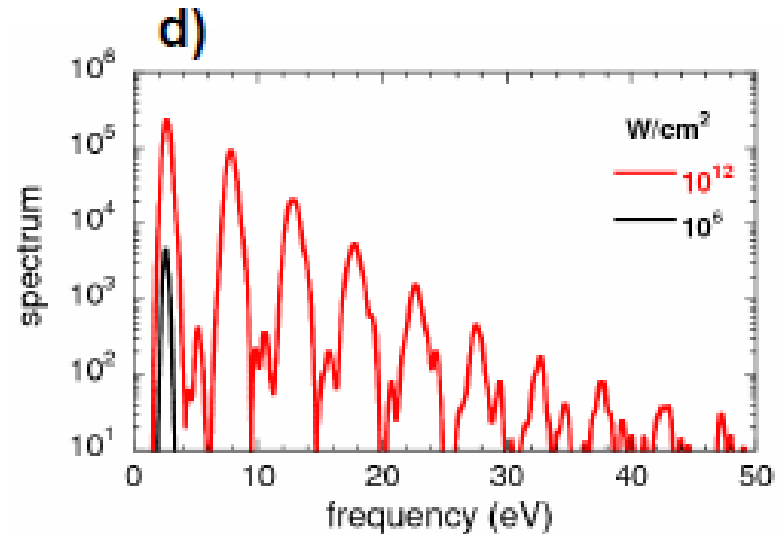
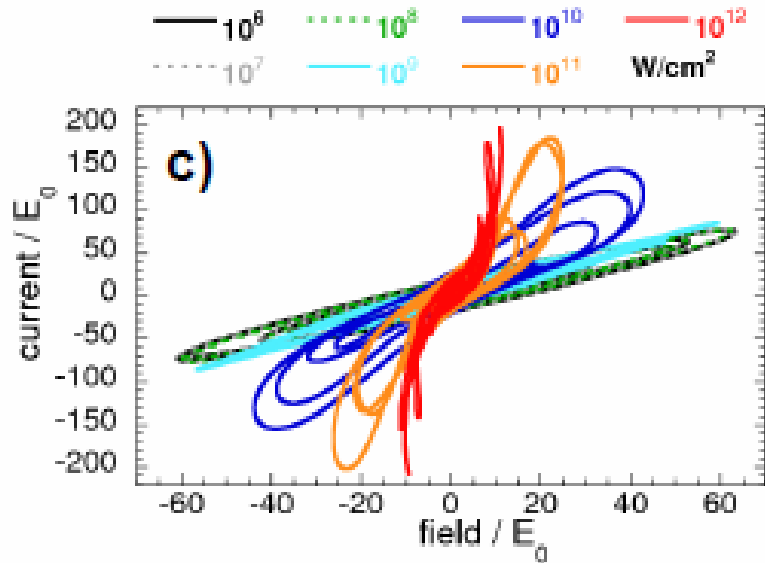
Nonlinear optical response of a NP dimer

(C. Marinaca *et al.*, Nano Lett . 12(2012)1333)



Field enhancement saturates due to electron tunneling!

Electron tunneling is nonlinear

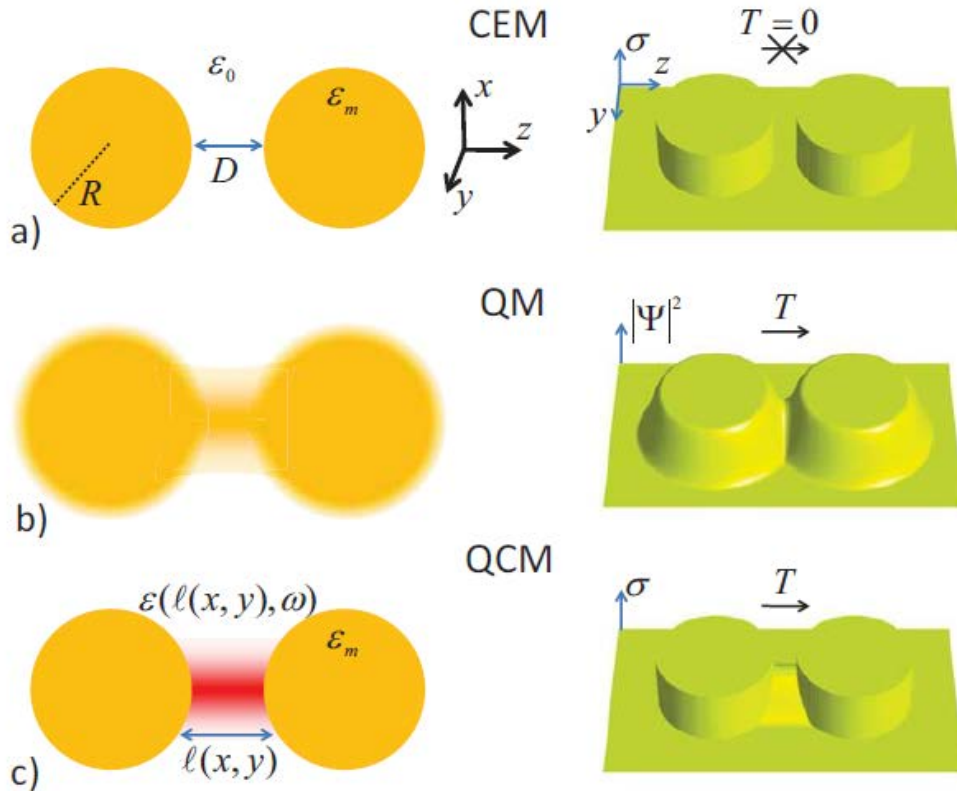


Low intensity: Ohmic (low G)
Intermediate: Ohmic (large G, HE)
High : Non Ohmic (HE, AC Stark)

High harmonics are generated!

Quantum Corrected Model (QCM)

(R. Esteban *et al.*, Nat Comm. 3(2012)825)

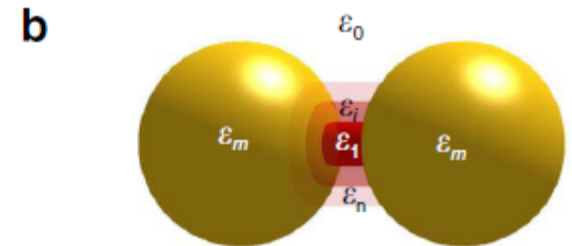
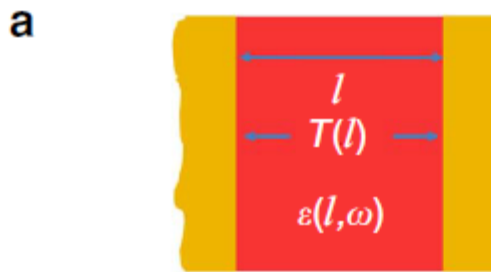
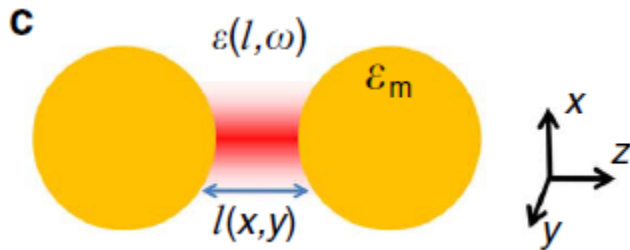


QM effects can be included in a classical E&M simulation by replacing the junction with a fictitious conductive material.

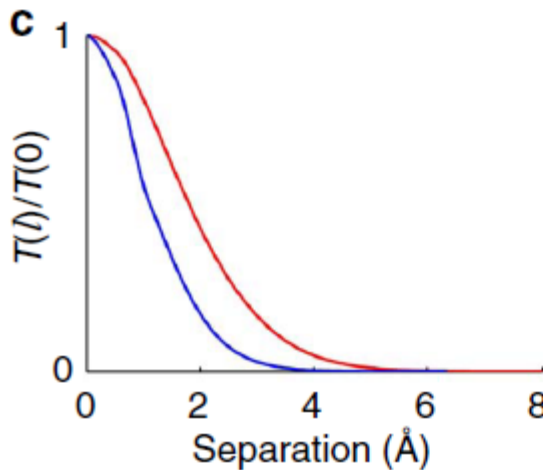
For typical timers, the field enhancement from QCM is an order of magnitude smaller than CEM!

Implementation of QCM

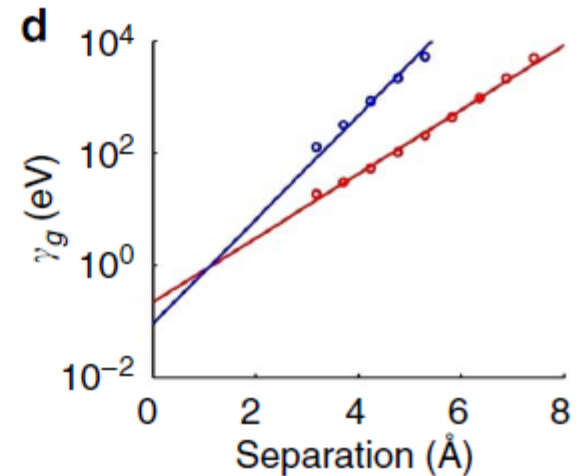
(R. Esteban *et al.*, Nat Comm. 3(2012)825)



Local tunneling conductivity $\text{Im}[\epsilon]$ depends on $l(x, y)$

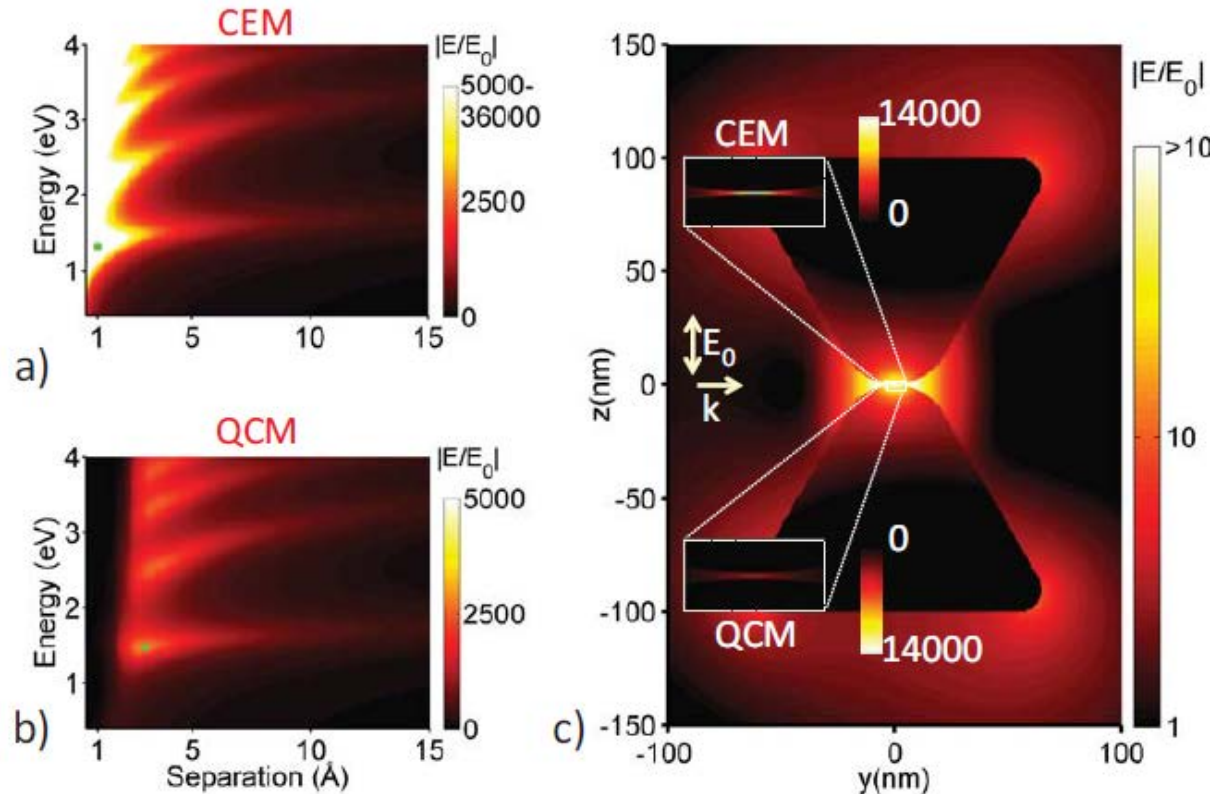


Tunneling rates calculated for planar junction



Practical implementation

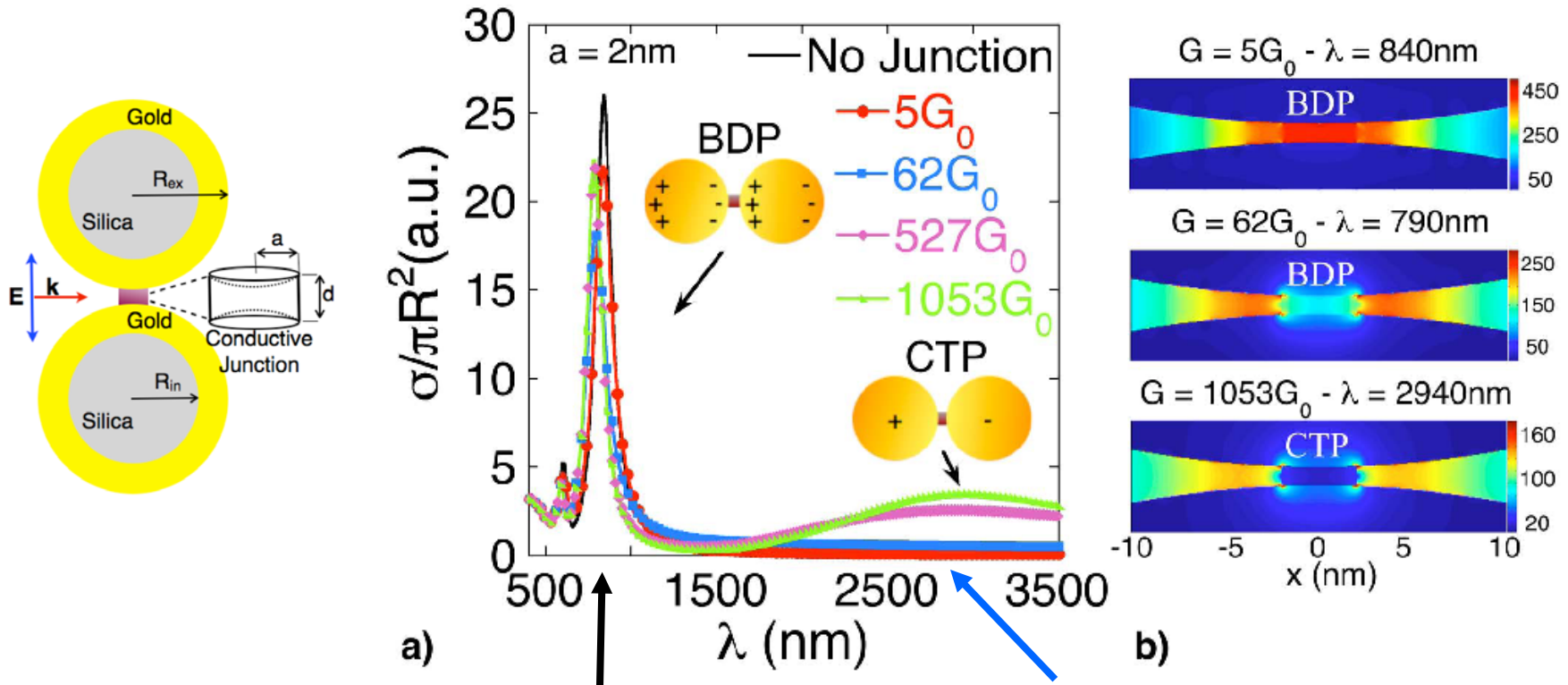
Application to realistic size bowtie antenna >10⁷ electrons (Esteban *et al.*, Nat. Comm. 3(2012)825)



Field enhancement from QCM is an order of magnitude smaller than CEM due to electron tunneling and nonlocal screening!

Effects of conducting junctions

(O. Perez-Gonzalez *et al.*, NL 10(2010)3090)



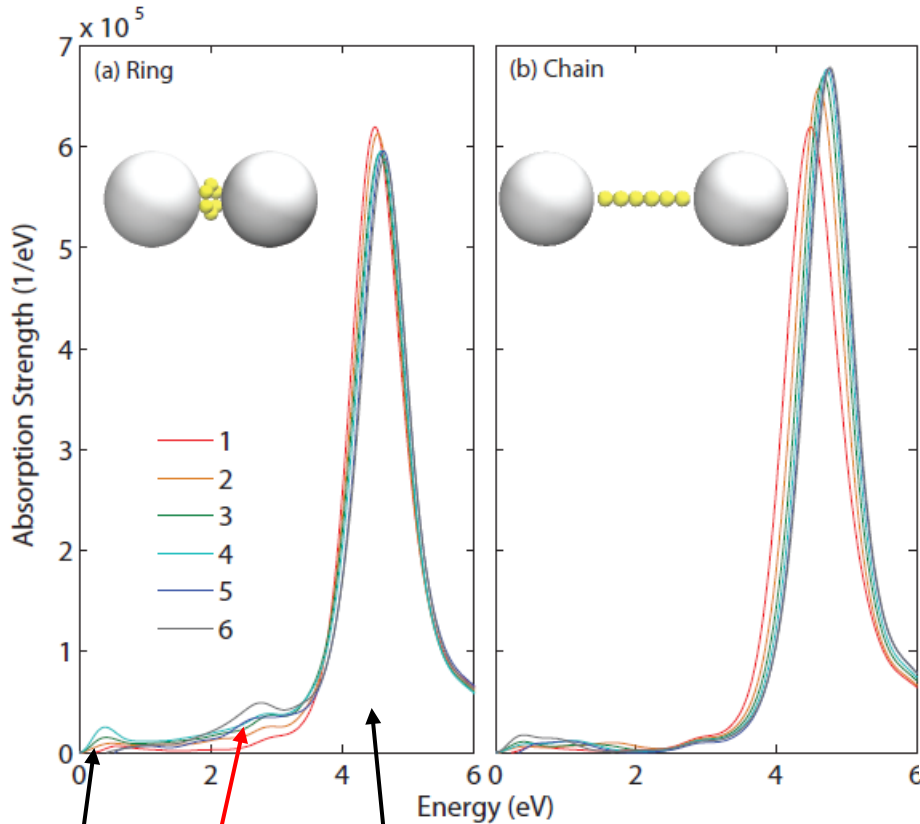
A conducting molecule blueshifts and broadens the dimer plasmon

For large conductance, a CTP appear in the mid IR

Extinction spectrum depend sensitively on junction conductance

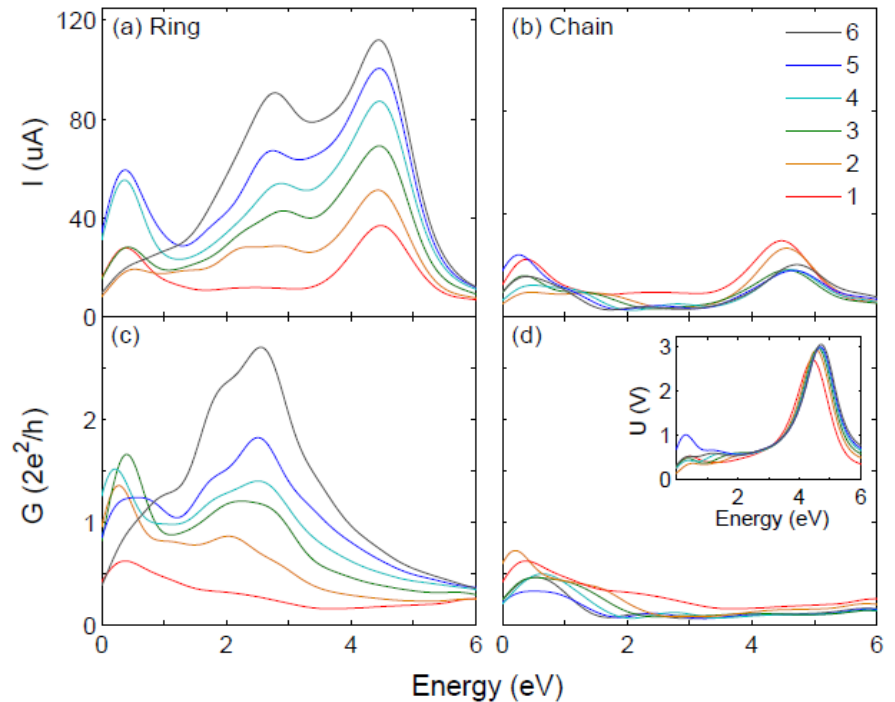
Multi Na atom junctions

(P. Song, S.W. Gao *et al.*, JCP 134(2011)074701, PRB 86(2012)121410)

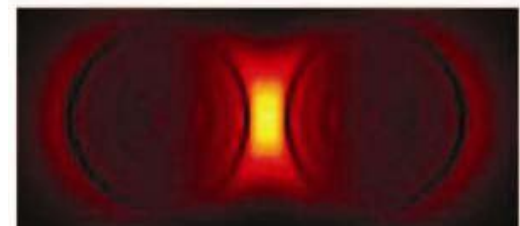


CTP MR BDP

CTP and BDP as in classical EM. New molecular resonance (MR) appears depending on molecular structure.

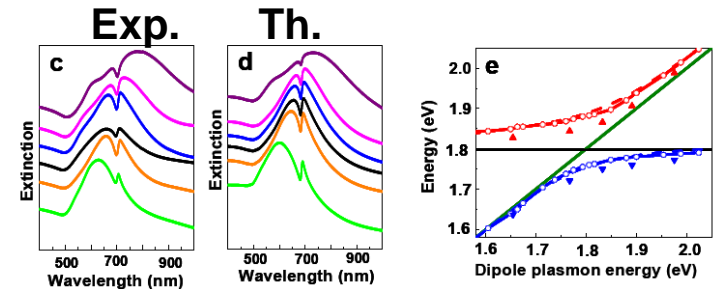
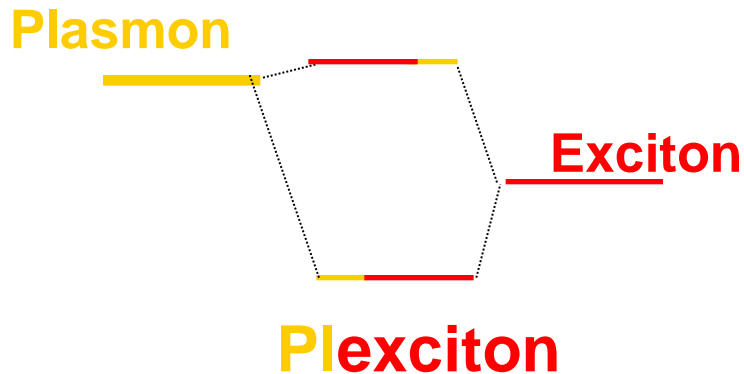


Electric currents are enormously enhanced because of E field

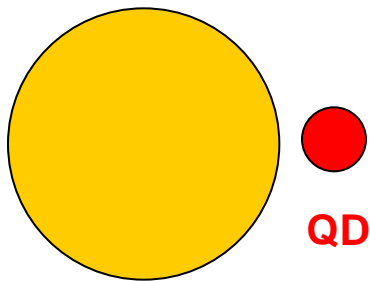


Quantum Plexcitonics

The electromagnetic coupling between excitonic and plasmonic systems results in hybrid “plexciton” states



(N. Fofang *et al.*, NL 8(2008)3481)



Bosonic operators:
Plasmons, Photons,
Phonons, ...

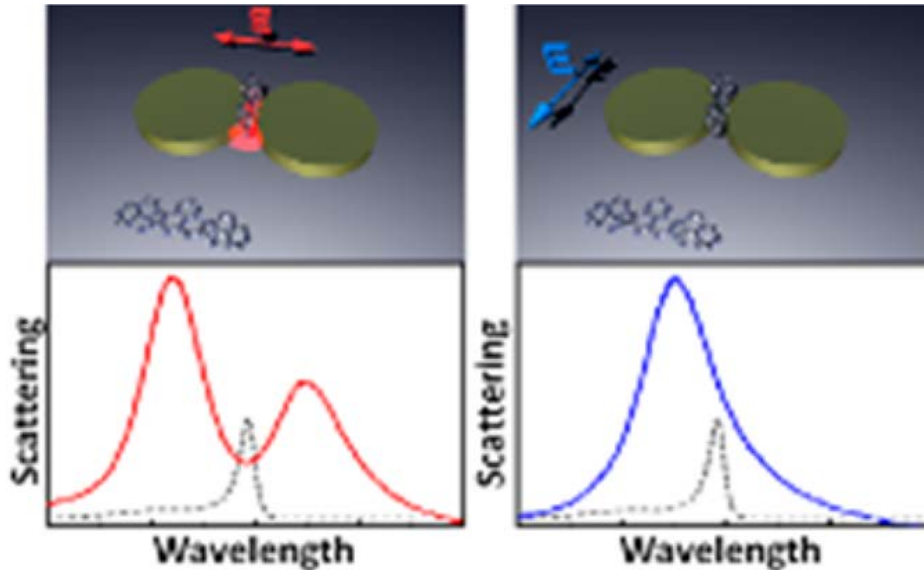
Fermionic operators:
Exciton, eh-pair
excitations,

- Nonlinear Fano effect (Manjavacas *et al.*, Nano Lett. 11(2011)2318)
- Plasmon blockade and antibunching
(Manjavacas *et al.*, ACS Nano 6(2012)1724)

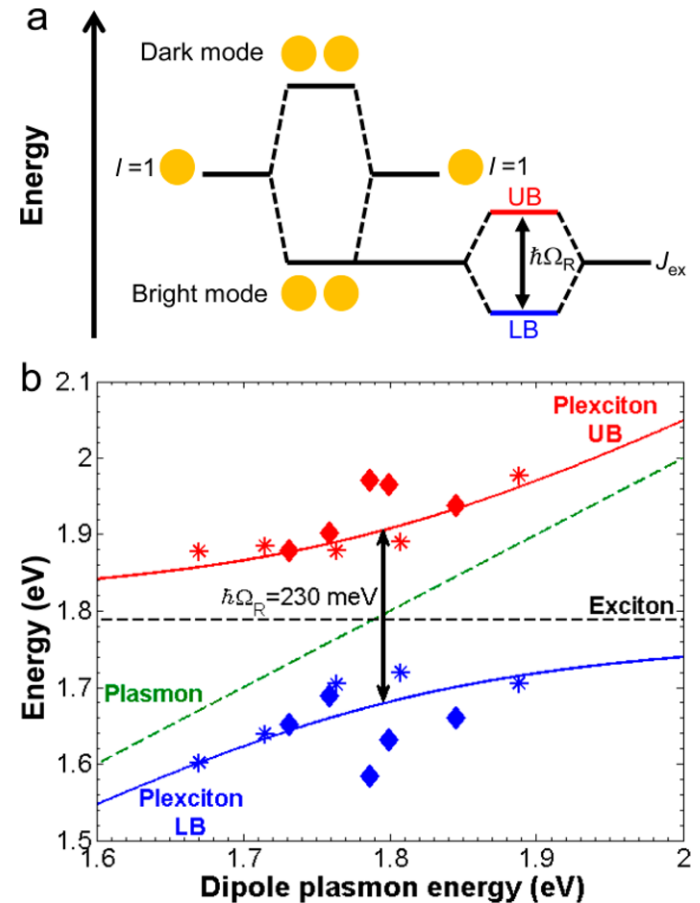
Plexciton formation in individual NP dimer

(A.E. Schlather *et al.*, NL 13(2013)ASAP)

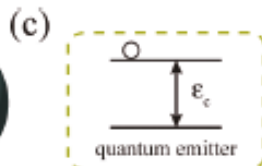
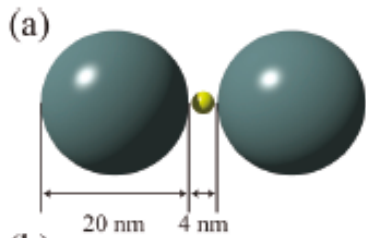
J-aggregates in NP junction



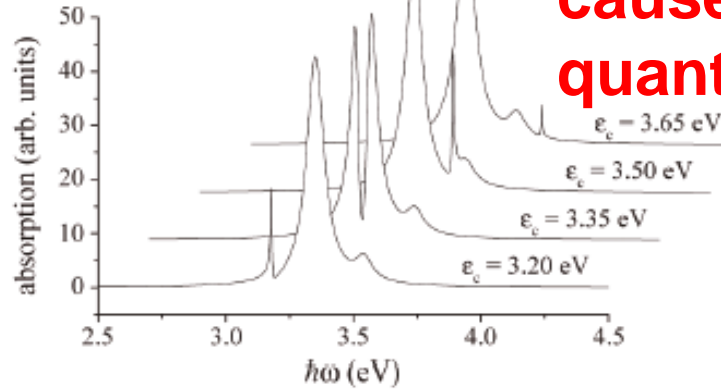
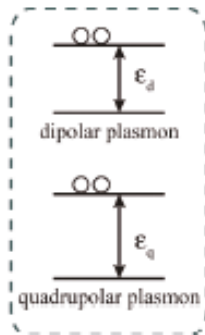
**Giant Rabi splitting (250-400 meV)
meV for longitudinal polarization**



Quantum plexcitonics, (A. Manjavacas *et al.*, NL11(2011)2318)



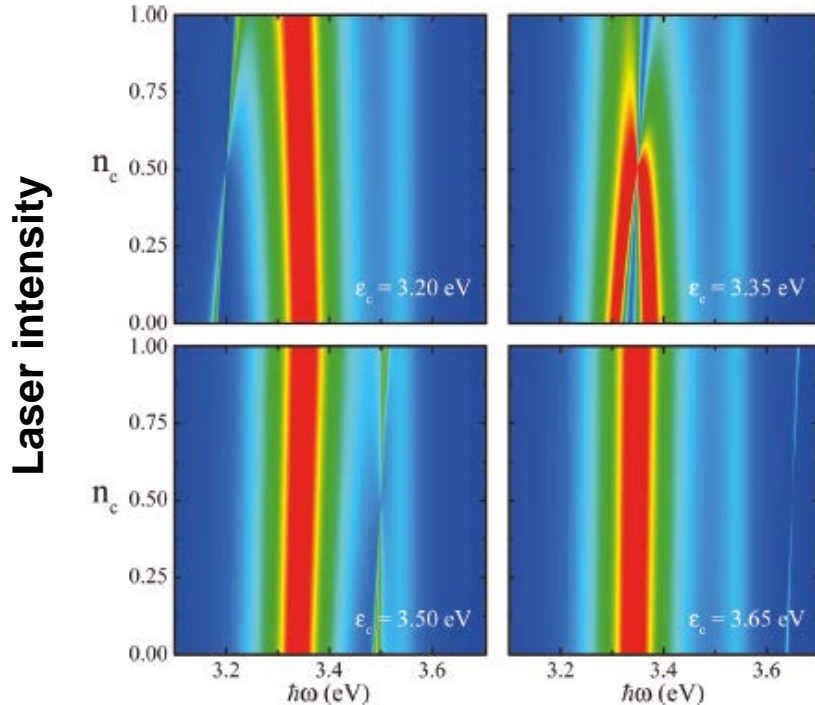
(d)



Fano interference caused by a single quantum dot

(b)

Model Parameters		ϵ_d	3.49 eV
ϵ_q	3.58 eV	Γ_d	86 meV
Γ_q	78 meV	Γ_c	4 meV
$\delta\omega_c$	15 meV	Δ_{dq}	125 meV
Δ_{dq}	60 meV	Δ_{dq}	55 meV
Δ_{dc}	20 meV	Δ_{qc}	10 meV



Nonlinear absorption

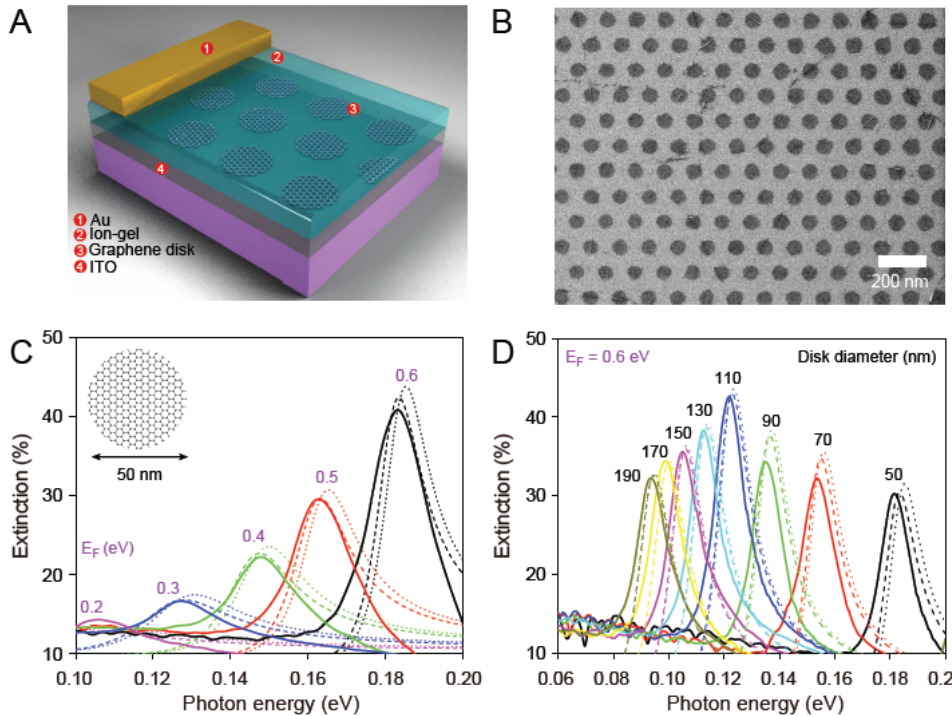
Due to the fermionic nature of quantum dot excitations (Pauli Principle)

Nonlinear Fano effect (Govorov 2008)

Graphene plasmonics

(Z.Y. Fang *et al.*, ACS Nano 7(2013)2388)

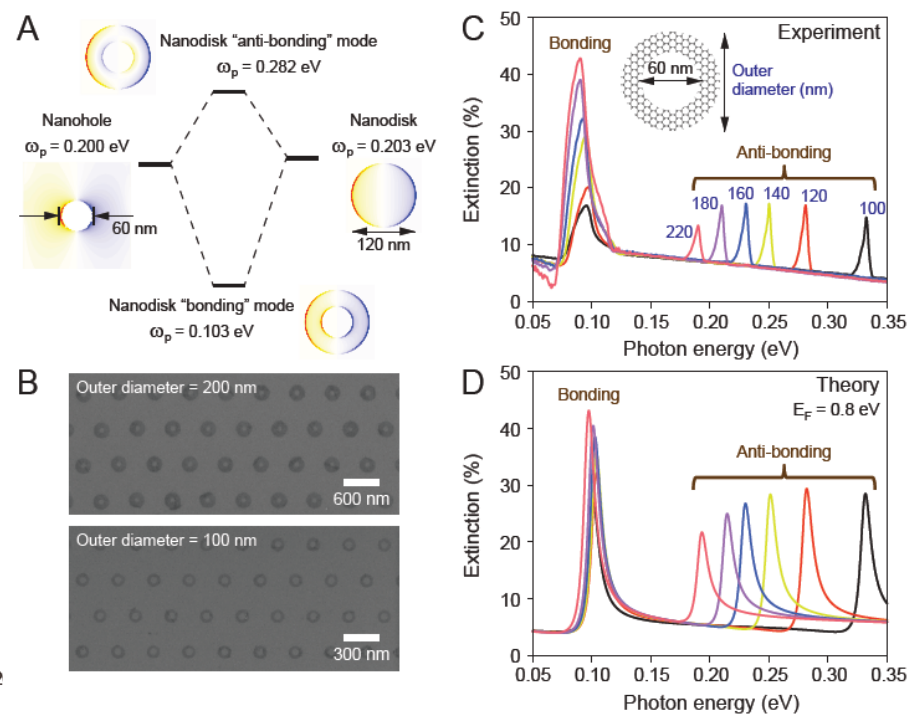
Nanodisks



Doping tunability

Diameter tunability

Nanorings



Coupling tunability

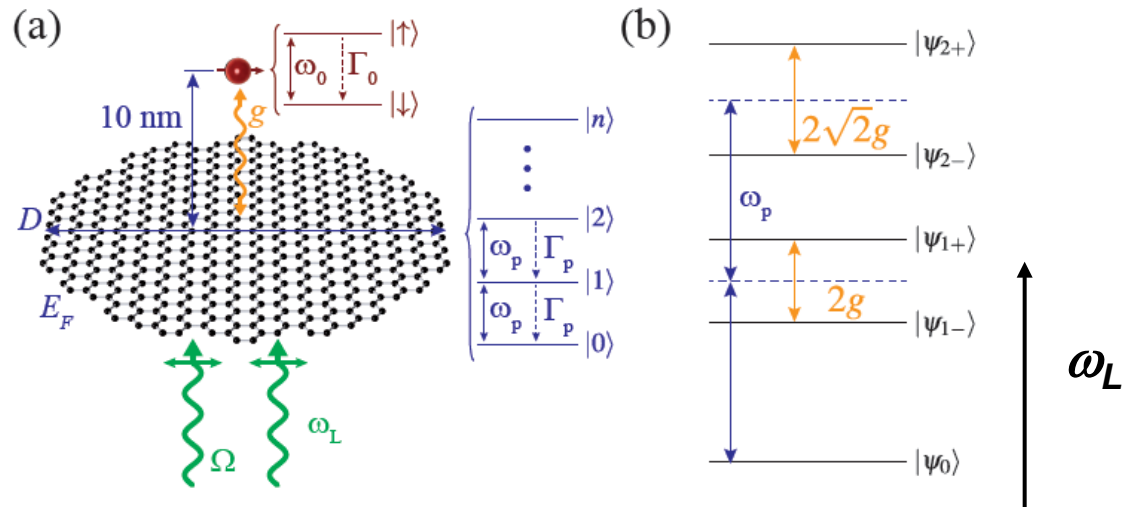
Excellent agreement between experiments and theory
Q-factor for AB ring mode > 60!

Plasmon Blockade and antibunching

(A. Manjavacas *et al.*, ACS Nano 6(2012)1724)

Graphene disks:
Ultranarrow and tunable
plasmon modes

$$g/\Gamma_p > 1$$



The coupling to a quantum emitter (exciton) results in plexcitonic states, a Jaynes-Cummings ladder

$$E_{n\pm} = \hbar \left(n\omega_p + \frac{\delta}{2} \pm \sqrt{\frac{\delta^2}{4} + ng^2} \right)$$

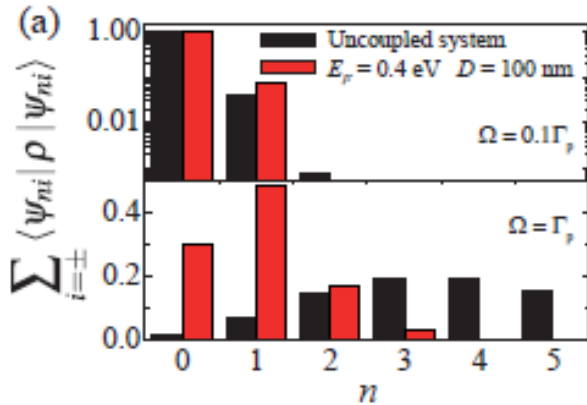
Energies no longer linear in n

\Leftrightarrow

Anharmonicity: $E_{n+1} - E_n \neq E_n - E_{n-1}$

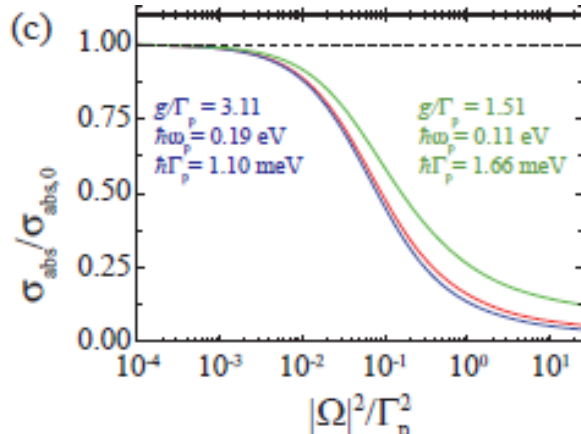
The presence of one plasmon quantum changes the energy of the next plasmon: **Plasmon Blockade**

Plasmon interaction changes many properties



Population of the different plexciton states
 Black: w/o interaction
 Red: interacting system

Plasmon Blockade restrict population of high n states



Normalized absorption cross section
 Dashed: w/o interaction
 Colored: increasing interaction

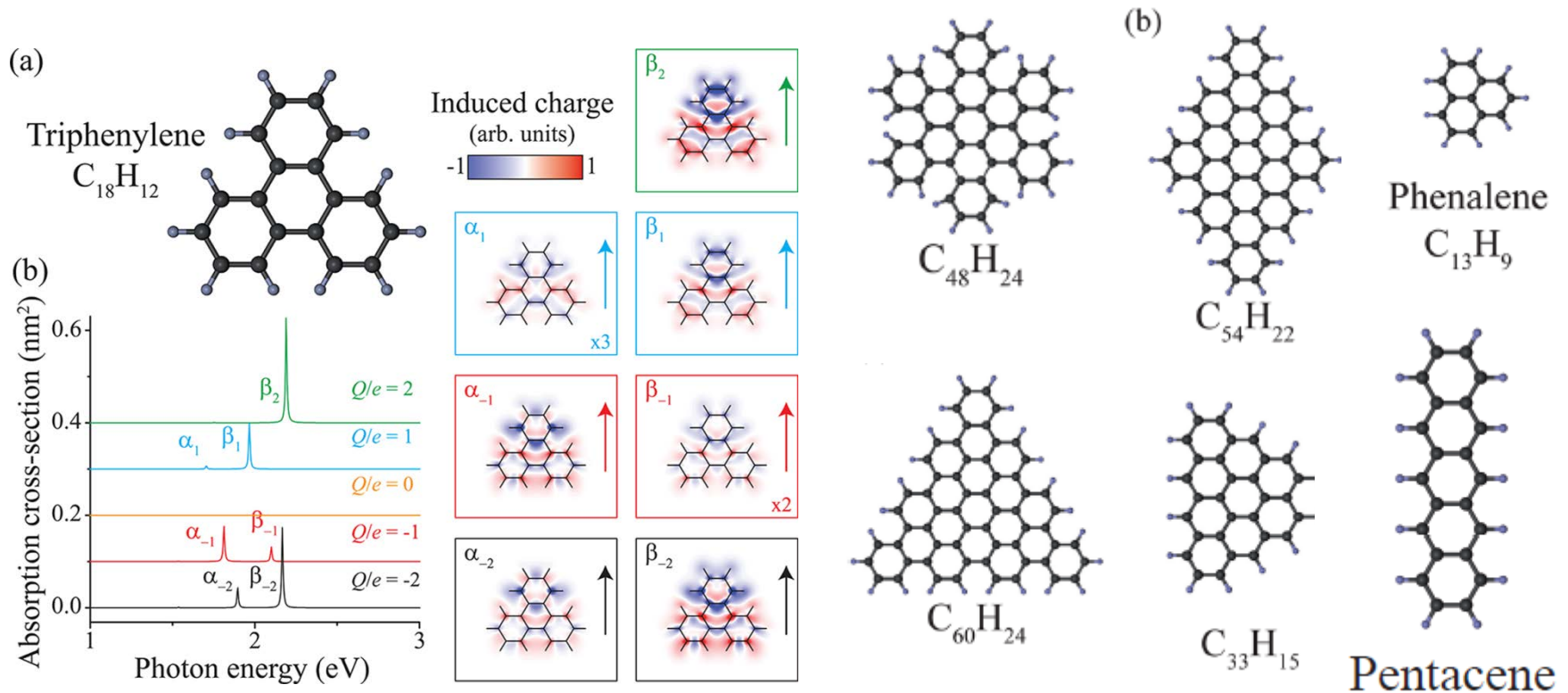
Plasmon blockade result in saturable absorption and plasmon antibunching ($\langle b^+b^+bb \rangle < 1$)

g (interaction), Ω intensity (Rabi frequency), Γ_p plasmon width, ω_p plasmon energy
All realistic parameters from BEM and TDDFT calculations

Molecular Plasmons

(A. Manjavacas *et al.*, ACS Nano 7(2013)3635)

TDDFT studies of Polycyclic Aromatic Hydrocarbons: reveals “Molecular Plasmons”

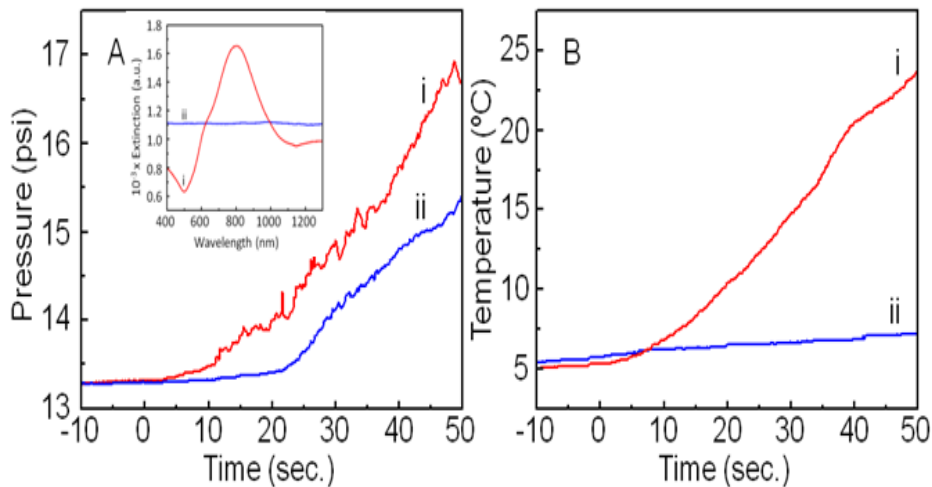
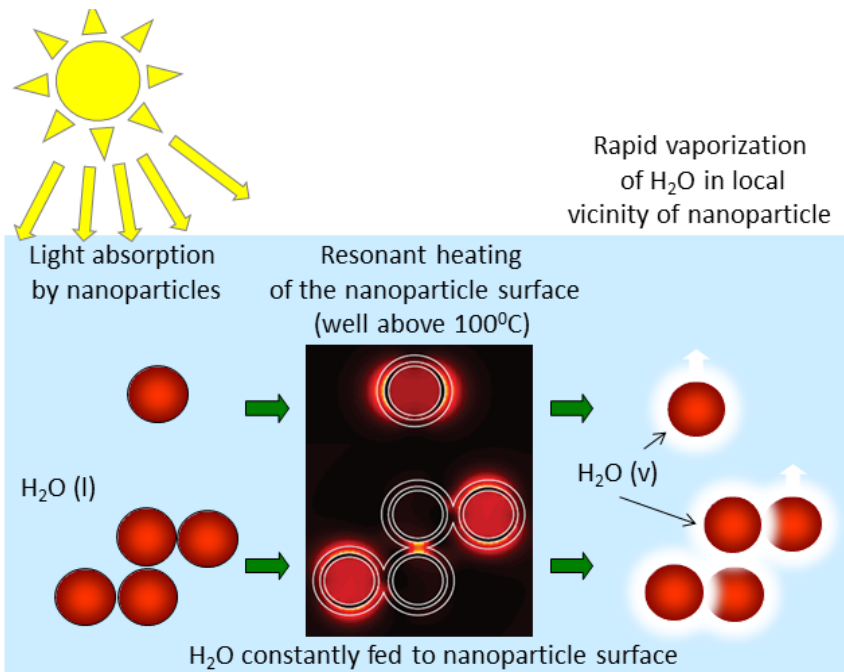


Highly tunable plasmon resonances in the visible
Stronger sensitivity to doping than graphene!

Plasmon-enhanced Light Harvesting

- **Steam generation**
- **Hot electron generation and applications**

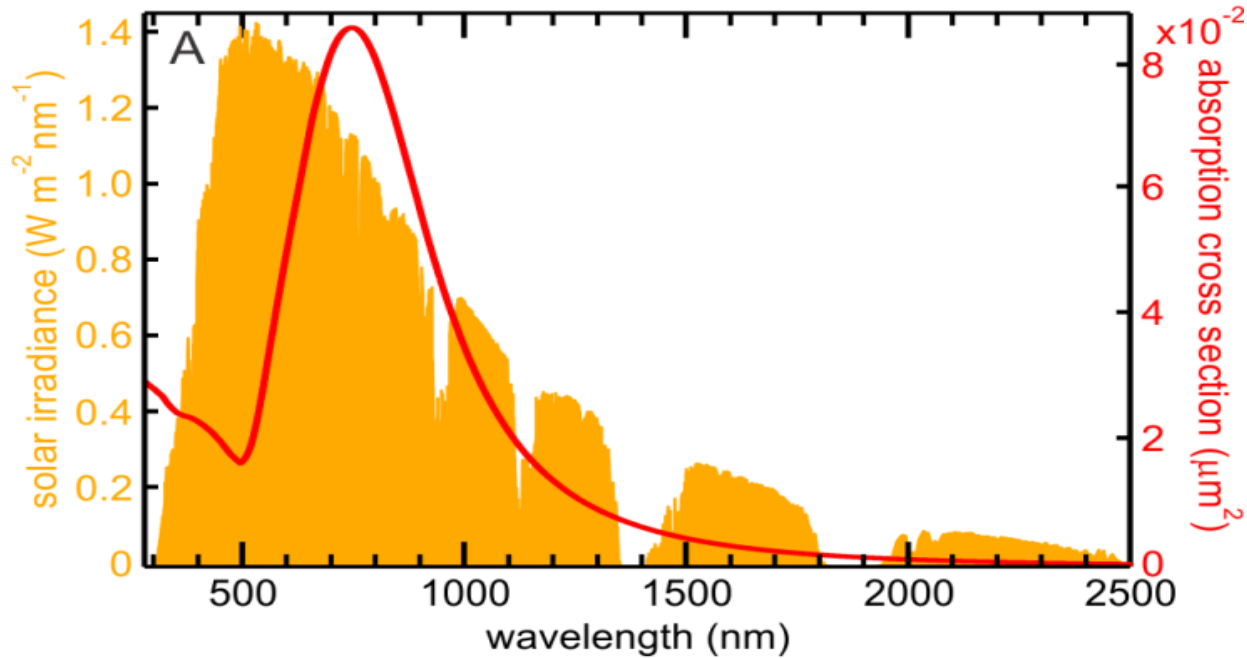
Plasmon induced steam generation and distillation (O. Neumann *et al.*, ACS Nano 7(2013)42)



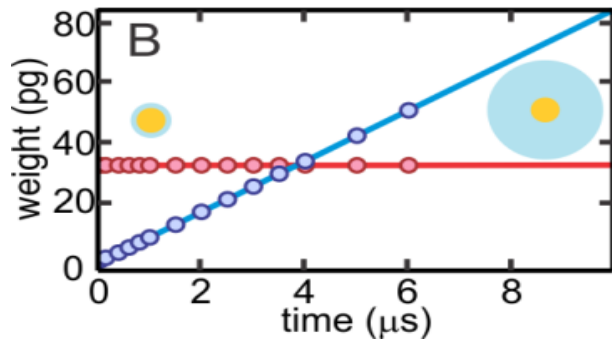
Solar light generate steam (T>150C) without heating the remaining liquid

Highly efficient process:

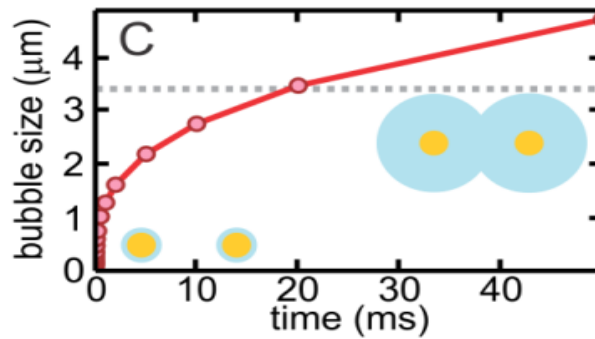
**82% of light energy goes to direct vaporization of water;
18% goes to heating of the remaining liquid**



Nanoparticle tuned to solar spectrum



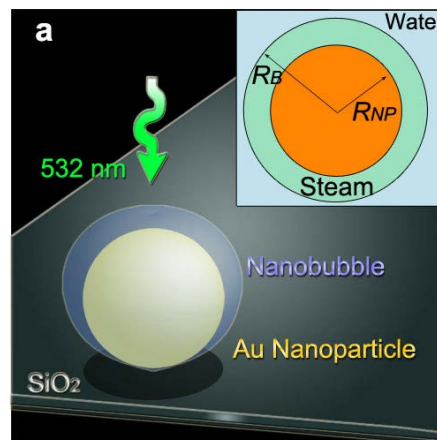
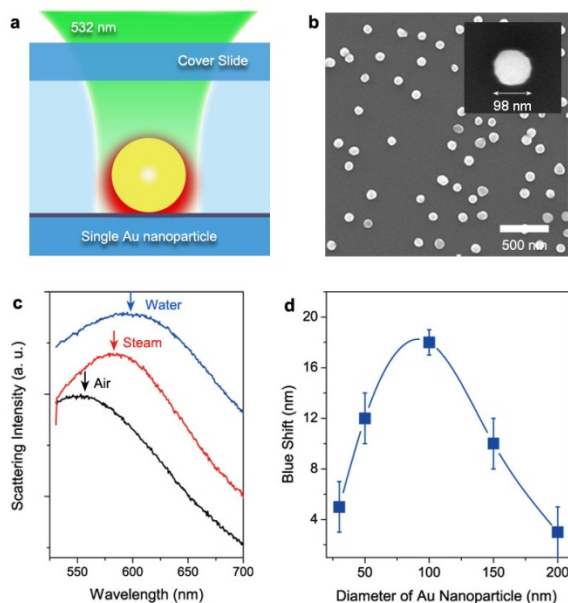
Buoyancy after 4 μs



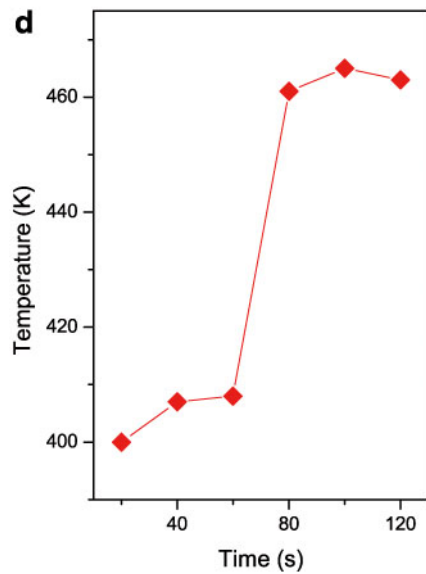
Bubble coalescence after 20 ms

Nanoparticles surrounded by bubbles move to the surface

Nanoparticle on a substrate studied with conventional light sources (Z.Y. Fang *et al.*, NL(2013)1736)



LSPR shift in steady State gives bubble thickness 10nm



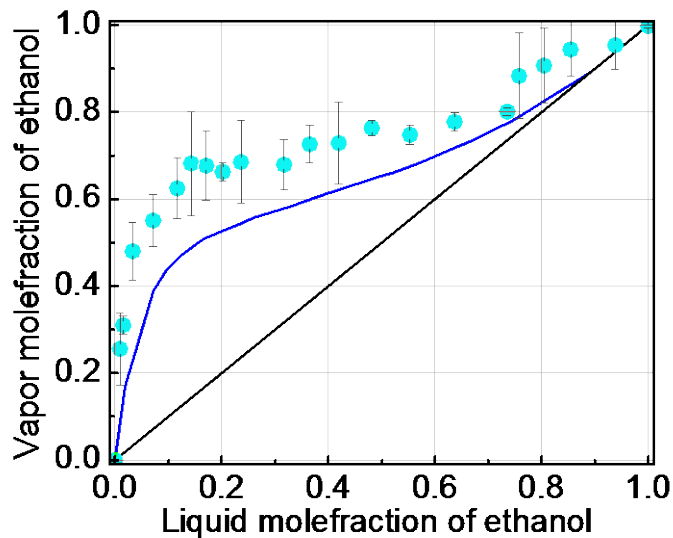
Bubble temperature from SERS Stokes anti-Stokes ratio

Once a complete nanobubble surrounds the NP, temperature increases drastically

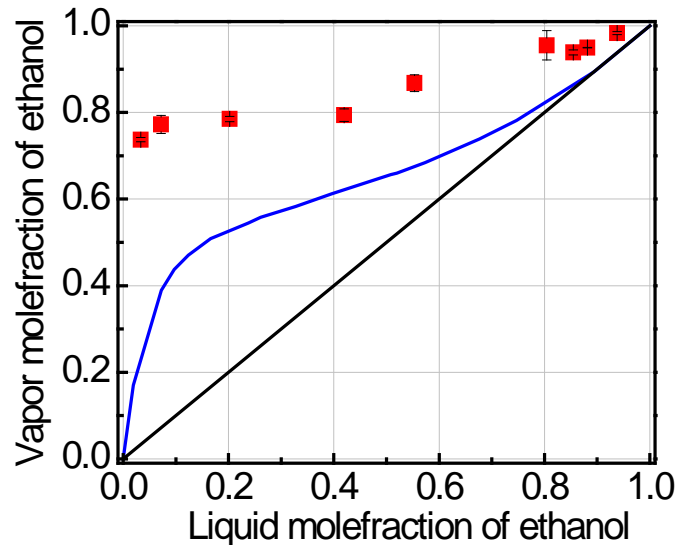
Applications

- Water purification, desalination, and remediation
- Sterilization and sanitation: Autoclave; waste management
- Distillation: Biofuels and chemical industry

Solar Distillation in “the field”



Laser Distillation in “dry” environment



**Blue: Thermal
Flash Distillation**

**Red: NP induced
distillation**

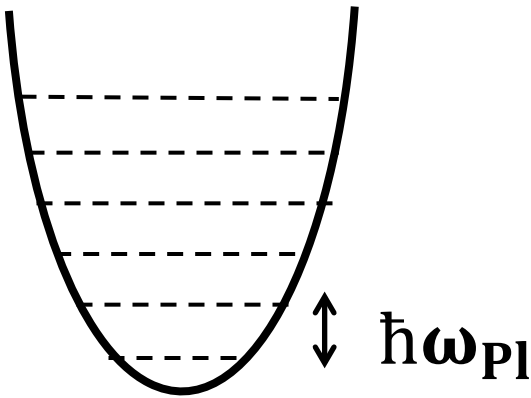
Distillate much richer than conventional distillation
Minimal heating of the remaining liquid

Plasmon decay

$$E_n = \hbar\omega_{pl}(n + \frac{1}{2})$$

The decay of plasmons occur one quantum at a time

$|n\rangle \rightarrow |n-1\rangle + \text{Photon or eh-pair}$

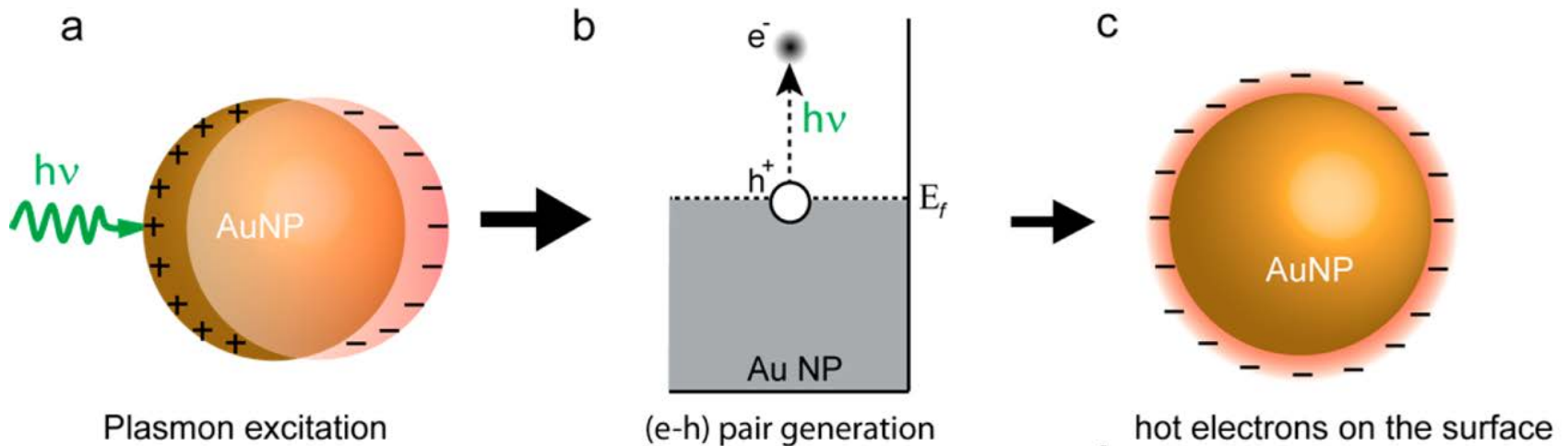


The branching ratio between photons and eh-pairs is determined by the radiance of the mode

- i) **Subradiant modes favors eh-pairs**
- ii) **Superradiant favors photons**

Since plasmon typically is excited to high n , many photons and eh-pairs are generated

Plasmon-induced Hot Electrons (HE)



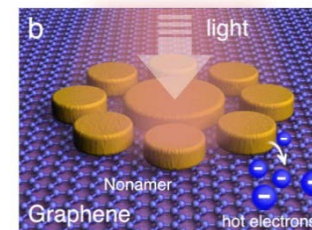
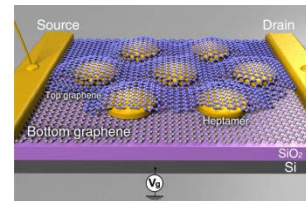
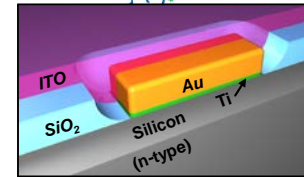
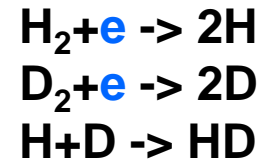
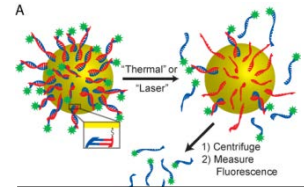
Each plasmon quantum decays into a single hot eh-pair.
Most HEs end up at $\varepsilon_F + \hbar\omega$

Plasmon enhanced yield of HE is 10^6 times larger than for direct excitation ($Y_{\text{Plasmon}} = N_{\text{el}} \times Y_{\text{DE}}$)

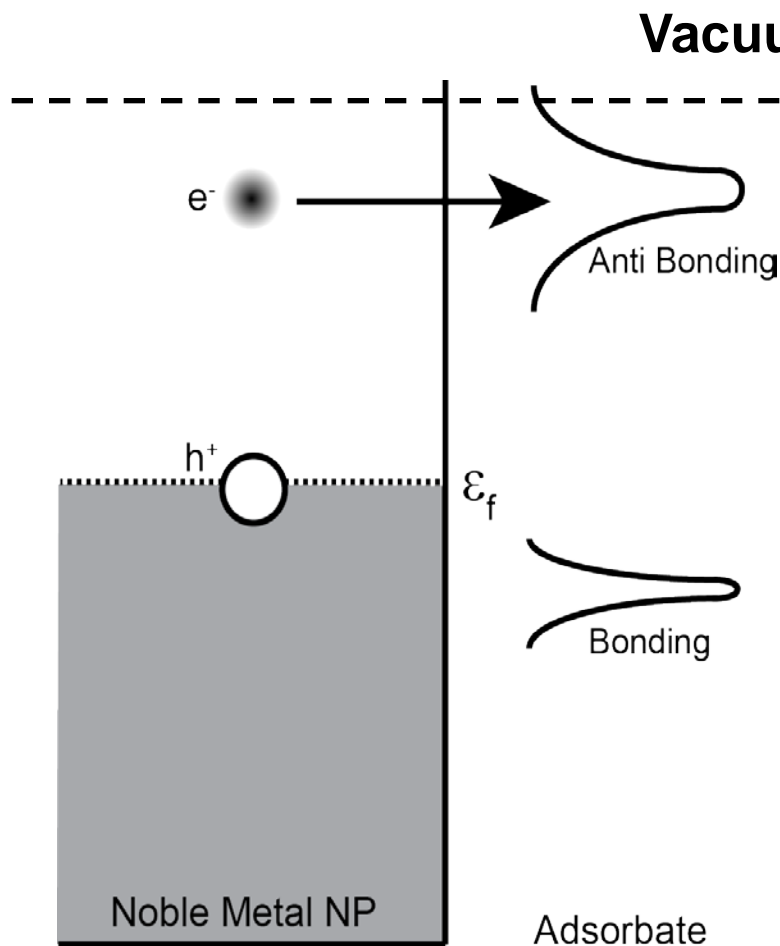
HE ends up outside NP and can do things!

Hot electron applications

- Chemical reactions
- Photodetectors
- Photovoltaics
- Photoinduced graphene doping



HE induced chemical reactions



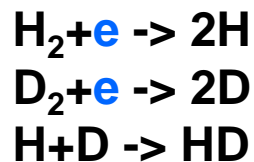
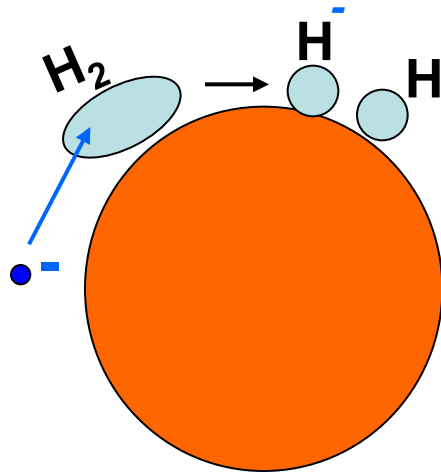
Hot electrons are negative energy electrons and can transfer into specific molecular states.

Chemical reactions can be steered optically!

HE induced Dissociation of H₂/Au: The impossible reaction (S. Mukherjee *et al.*, NL 13(2013)240)

The dissociation of closed shell molecules is the entrance channel barrier in many important chemical reactions $3\text{H}_2 + \text{N}_2 = 2\text{NH}_3$

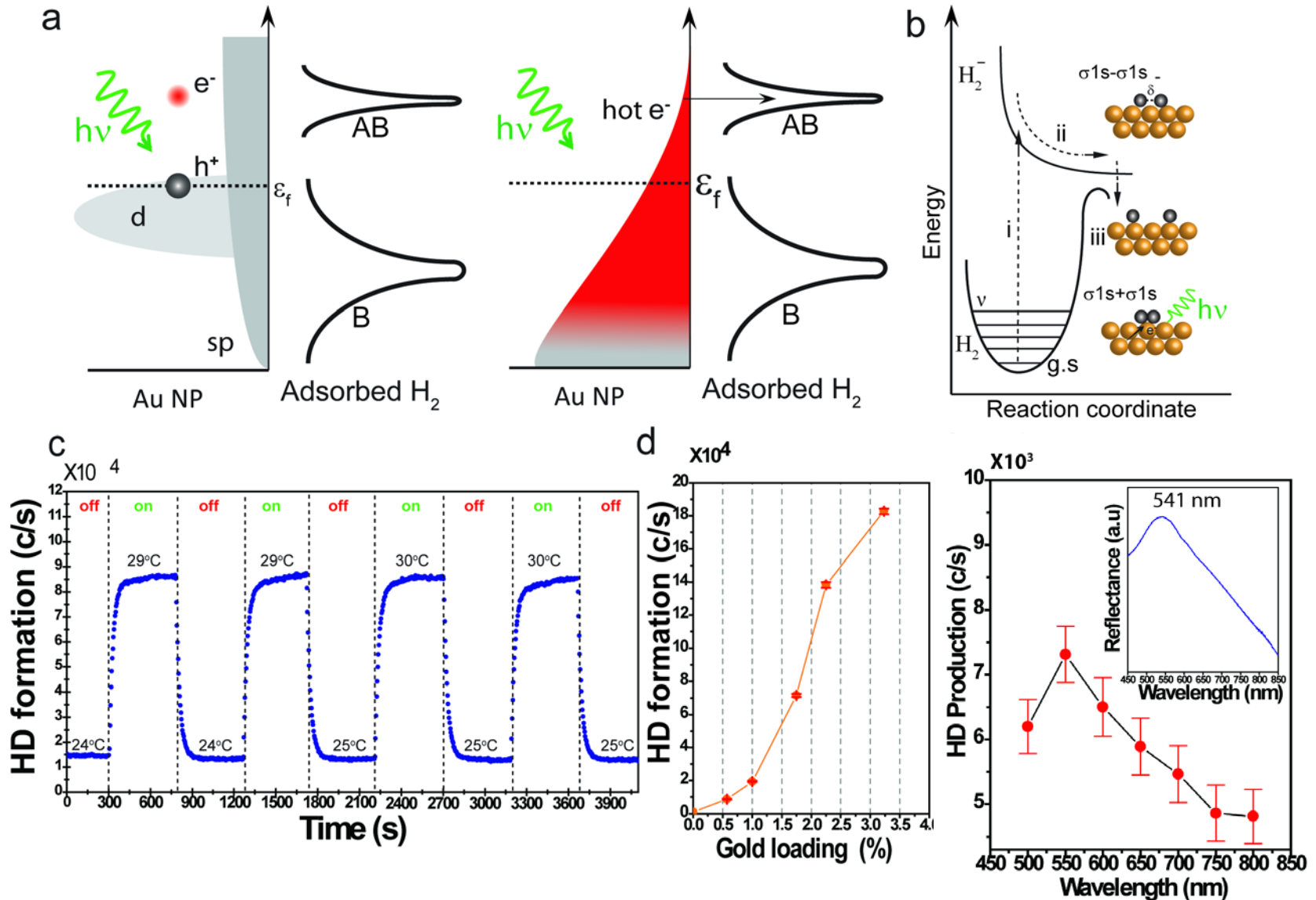
Dissociation of closed shell H₂ costs 4.6eV.
But H₂⁻ auto dissociates!



Hot electrons do the impossible,
dissociation of H₂ on Au!

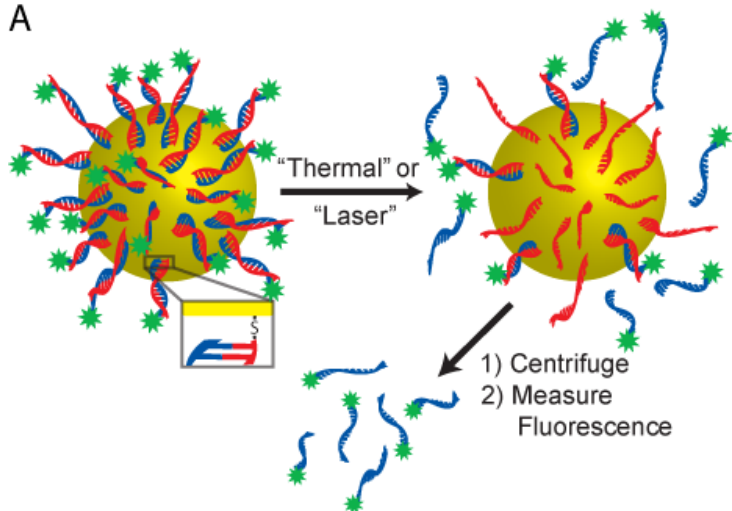
HE transfer into H₂ and induce
dissociation!

Dissociation of H₂ on Au by plasmon-induced hot electrons (S. Mukherjee *et al.*, NL 13(2013)240)

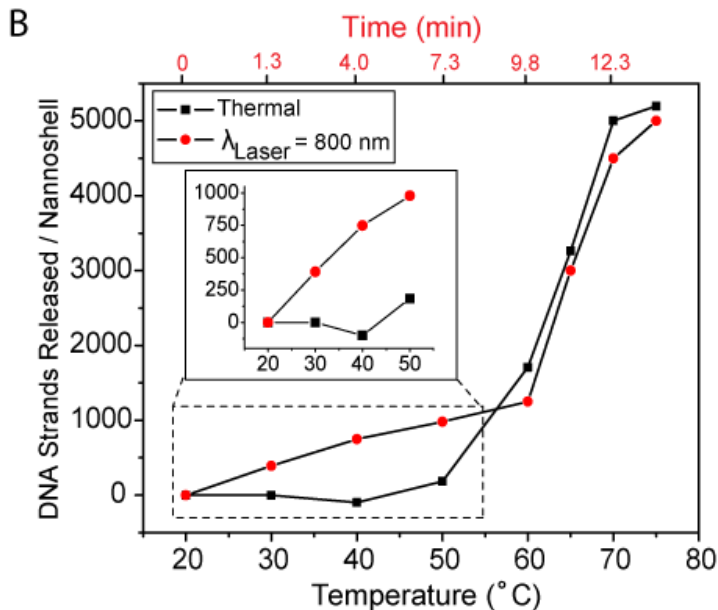


HE triggered ssDNA release from Au nanoparticles

(R. Huschka *et al.*, JACS 133(2011)12247)



dsDNA with one strand anchored to a NP and the other strand tagged with a fluorophore



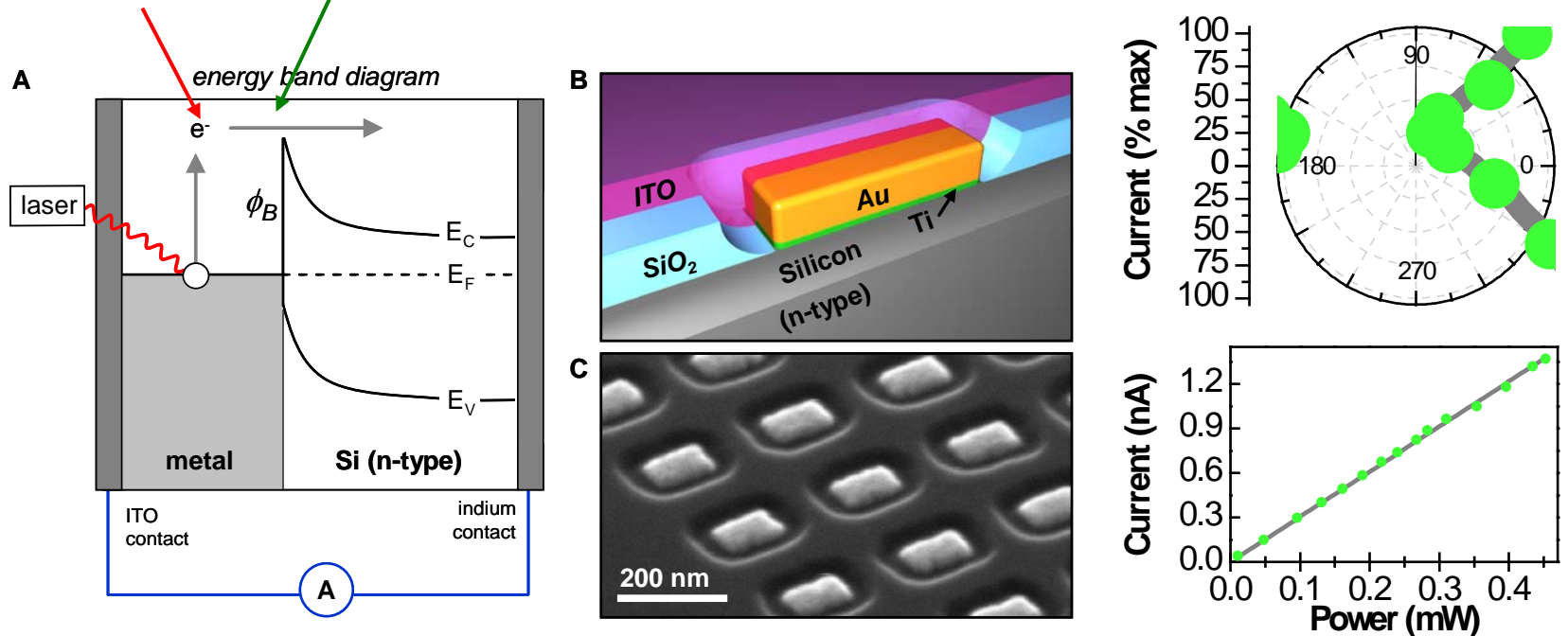
Hot electron transfer to DNA induce DNA melting at physiological temperatures

NP on semiconducting substrate

(M. W. Knight *et al.*, Science 332(2011)702)

Plasmon decay
into hot electrons

Transport across the
Schottky barrier



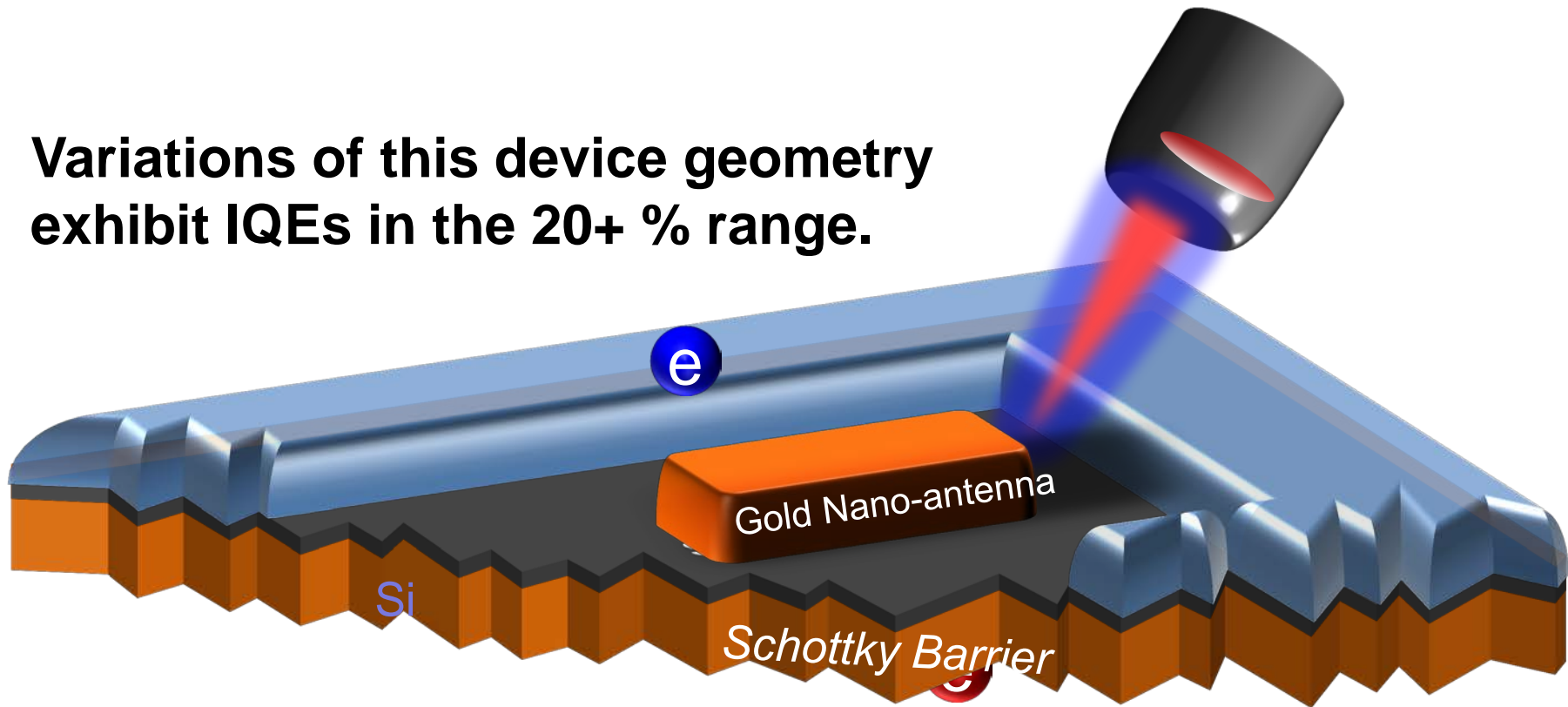
A nanoantenna-photodiode!

Simultaneous light collection and photocurrent generation

Hot-electron based photodetection

(M. W. Knight *et al.*, Science 332(2011)702)

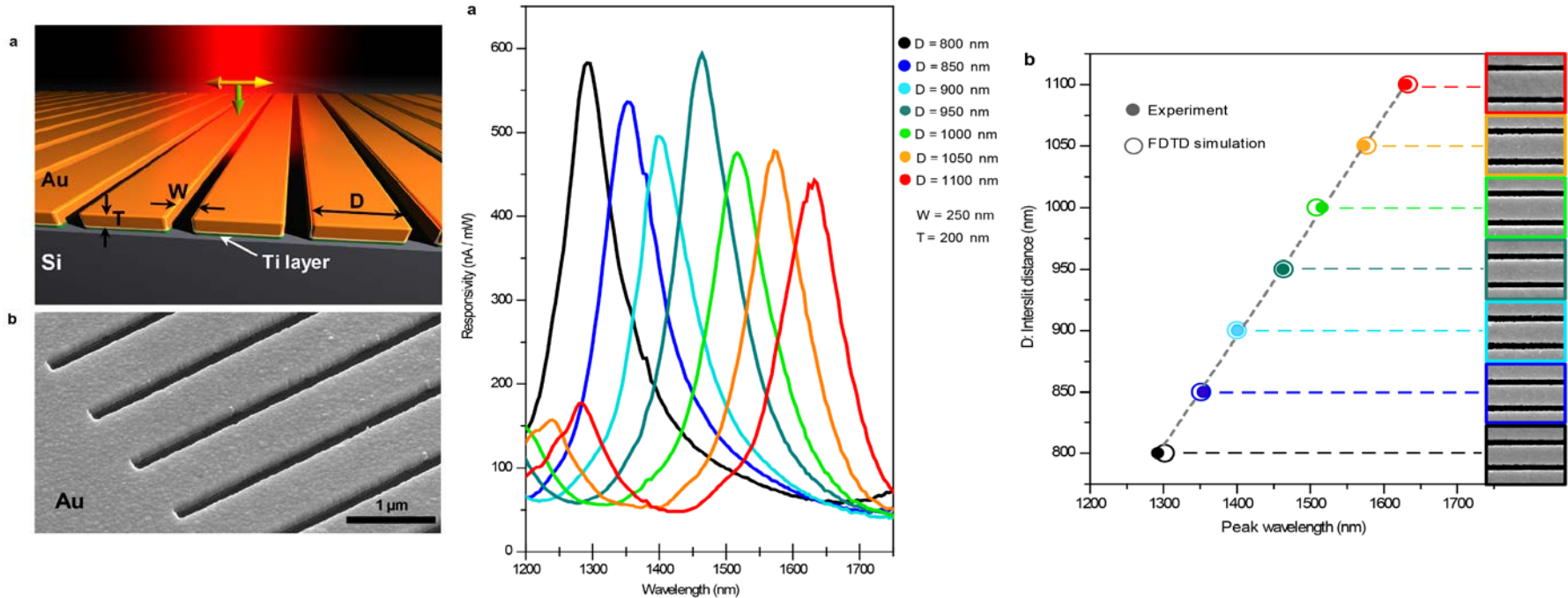
Variations of this device geometry exhibit IQEs in the 20+ % range.



**Device can be tuned into the visible.
Novel approach for photovoltaics!**

EOT based Hot Electron Photodetector

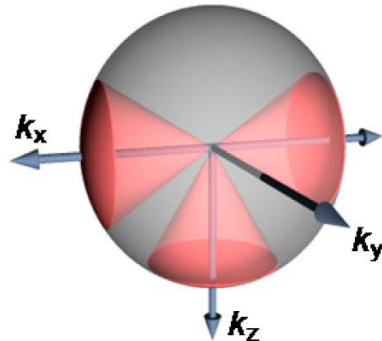
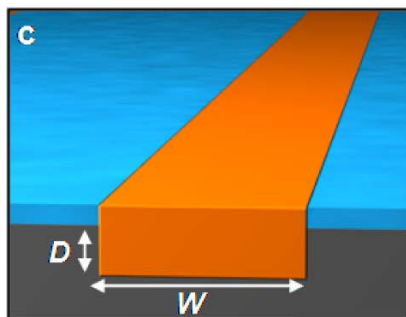
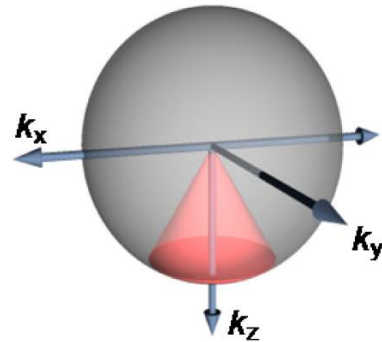
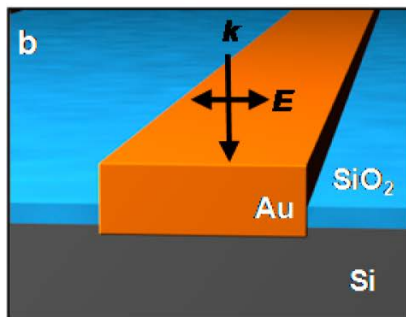
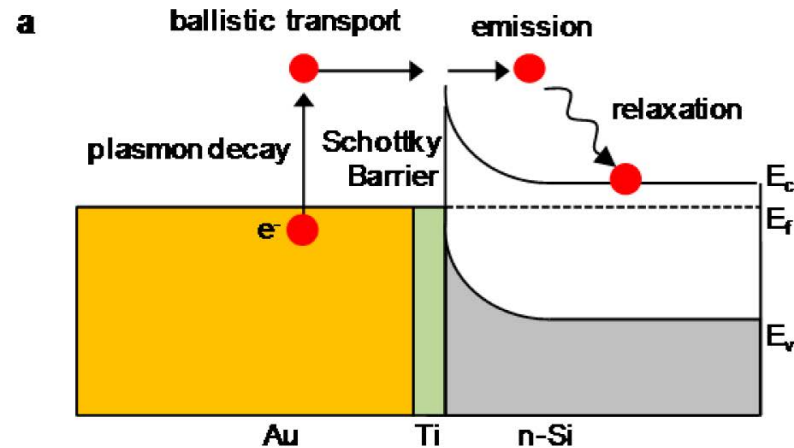
(A. Sobhani *et al.*, Nature Comms. 4(2013)1643)



The use of a slit array enables the design of ultra narrow spectral photoresponse

No ITO needed: More efficient device

Embedding Plasmonic Nanostructure Diodes Enhances Hot Electron Emission, (M. W. Knight *et al.*, Nano Lett 13(2013)1687)

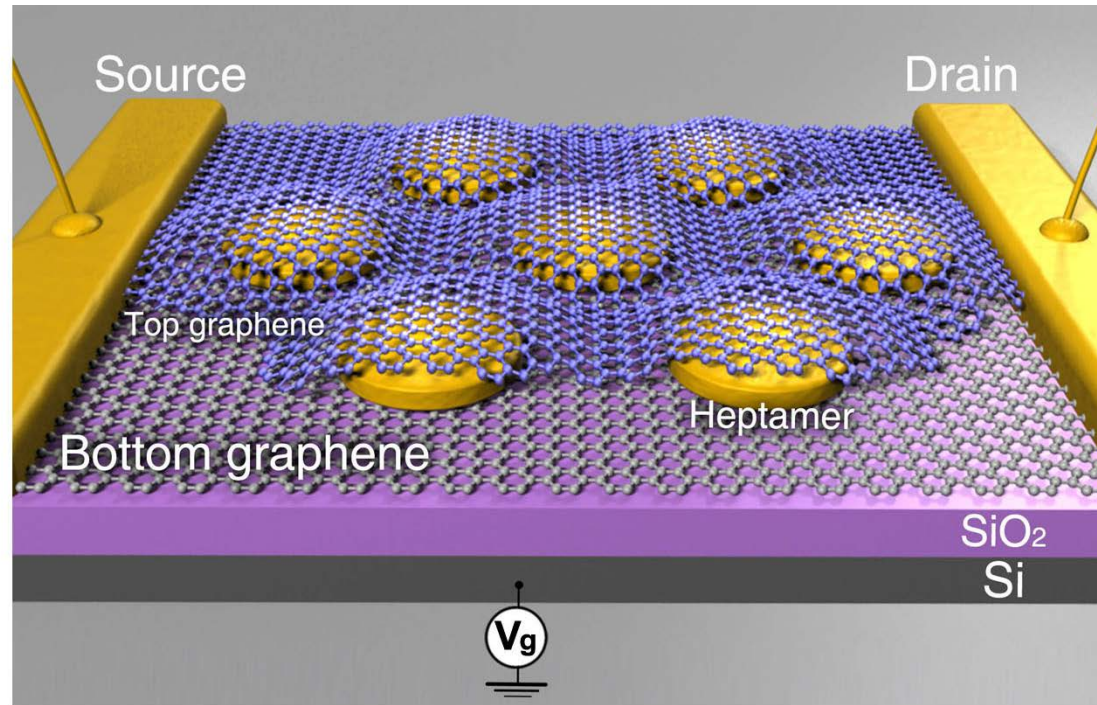


**Embedding gold antenna
in Si increases the
quantum yield 25 times**

**HEs are emitted in the
polarization direction!**

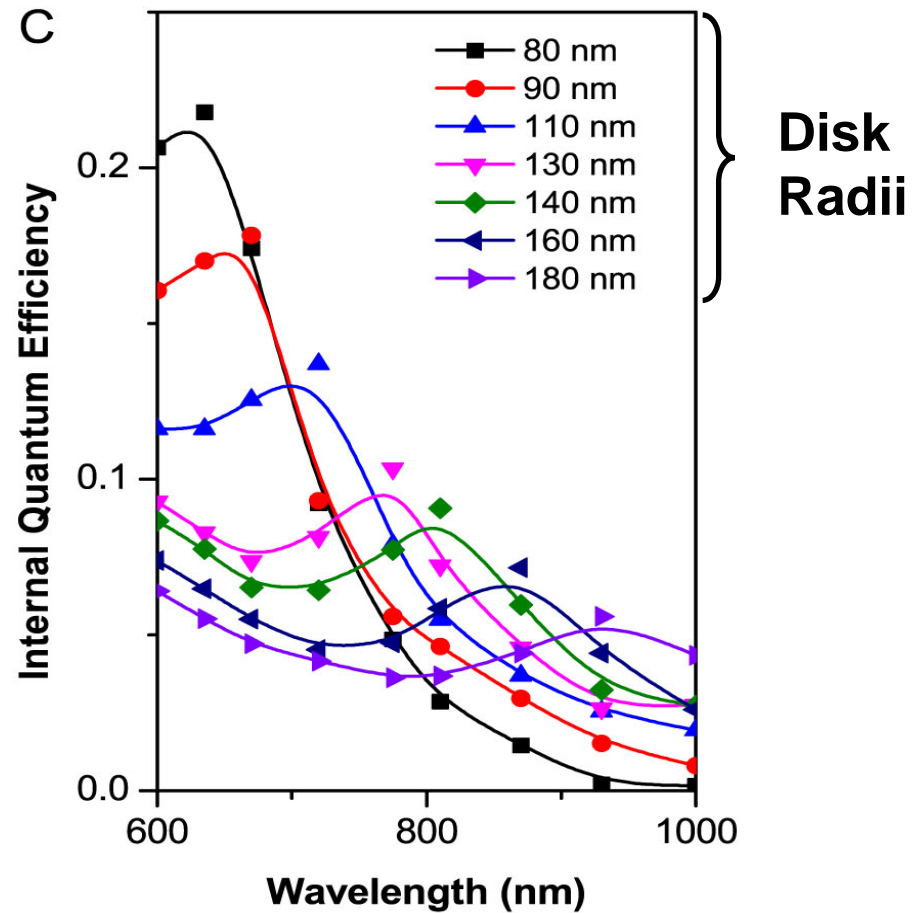
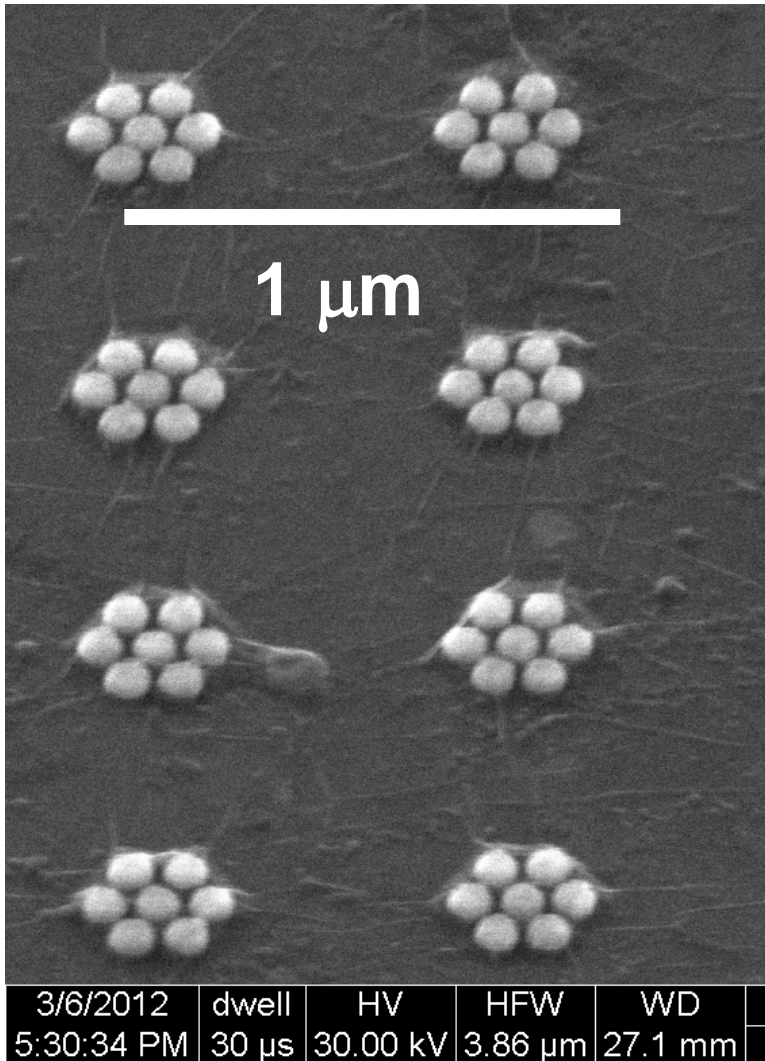
A Graphene-Antenna Sandwich Photodetector

(Z.Y. Fang *et al.*, NL 12(2012)3808)



- Efficient HE production at the heptamer Fano resonance
- Efficient HE collection because “wrapping”
- High tunability

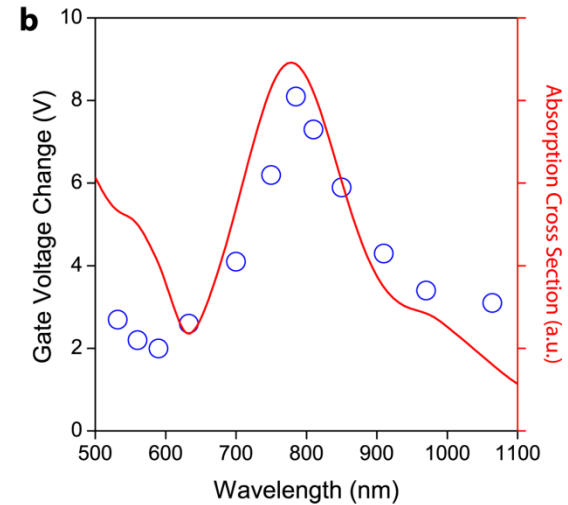
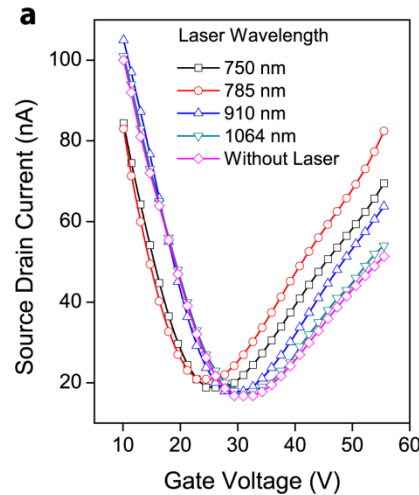
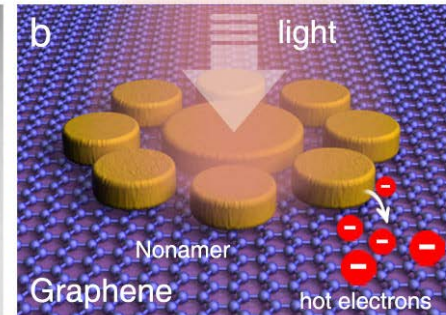
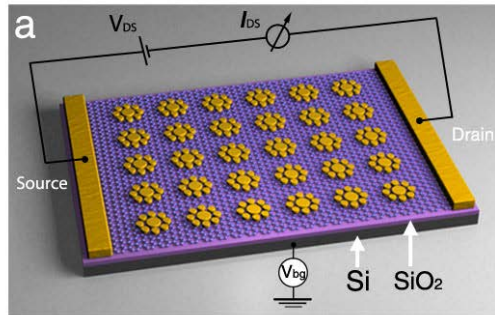
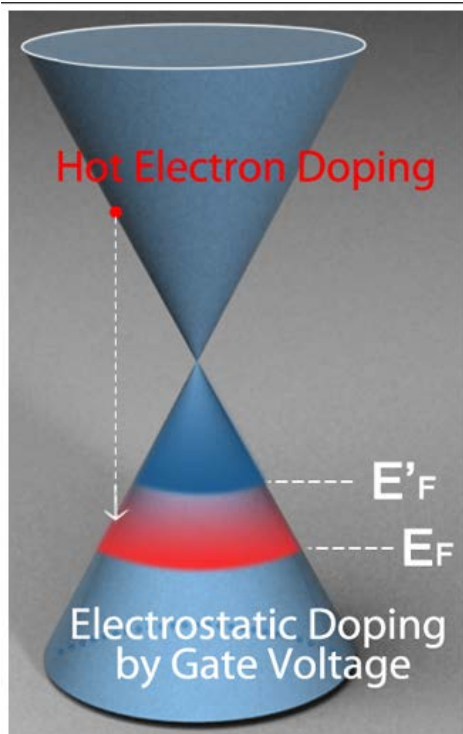
Primarily a hot e⁻ device:



IQE is 22% at 600nm. Photovoltaic applications

Hot electron doping of graphene

(Z.Y. Fang *et al.*, ACS Nano 6(2012)10222)



Conventional electrostatic doping

Dirac point changes with illumination

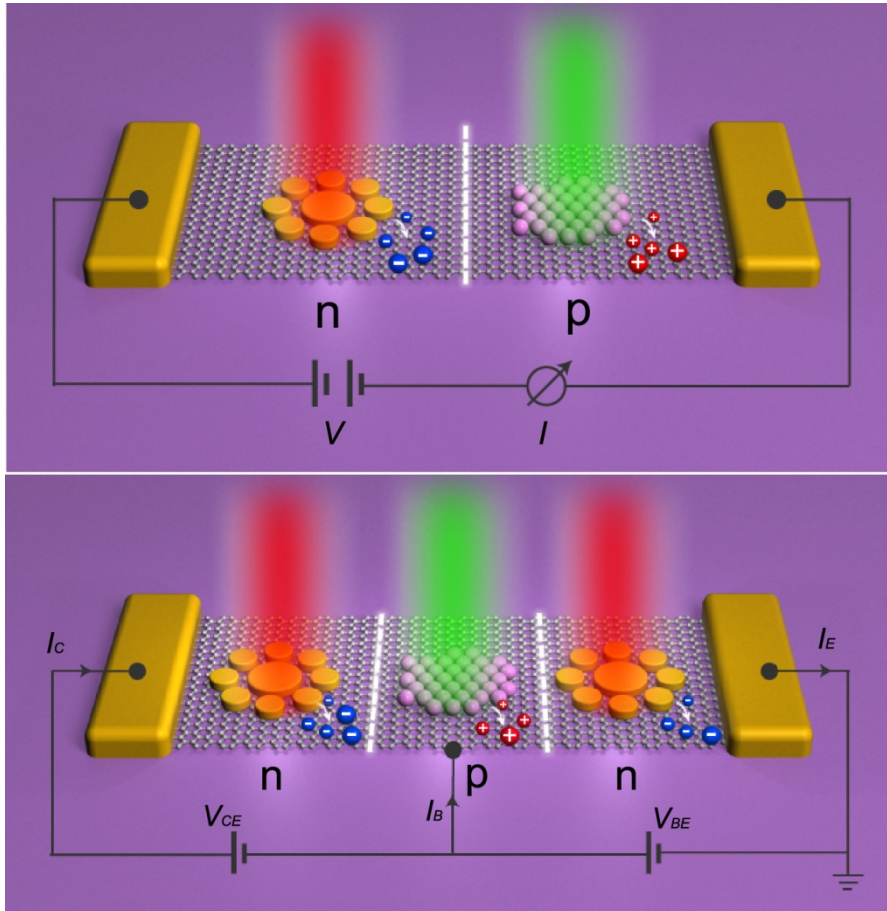
Doping proportional to absorption.

Large effect !

Plasmon induced doping equivalent to 10V gate voltage

Optically Induced Electronics

(Z.Y. Fang *et al.*, ACS Nano 6(2012)10222)



Plasmons: n-doping

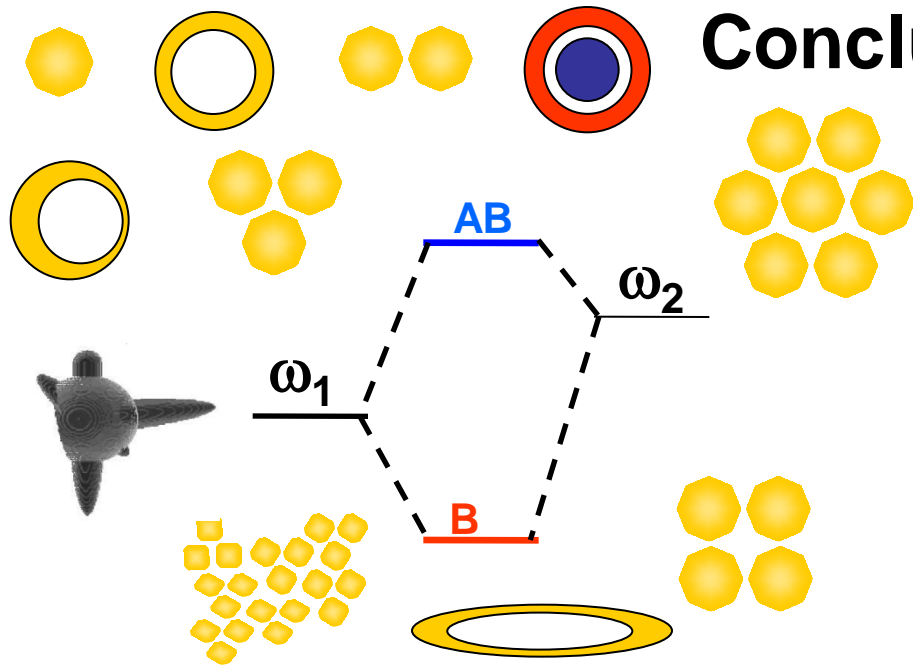
QDs: p-doping

(G. Konstantatos *et al.*, Nat. Nano. 7(2012)363)

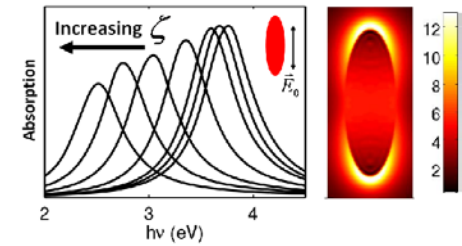
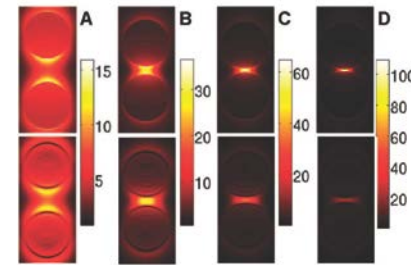
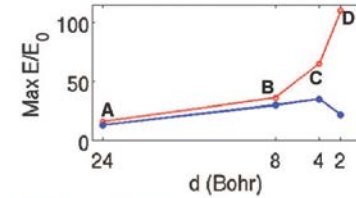
**Electronic function
only when “right “
light is incident!**

**Tunable effect:
Wavelength, polarization
incidence angle,**

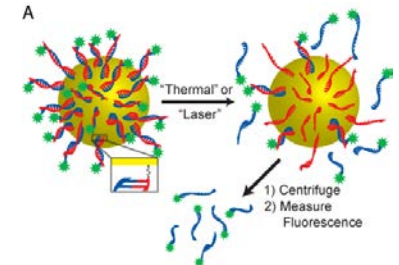
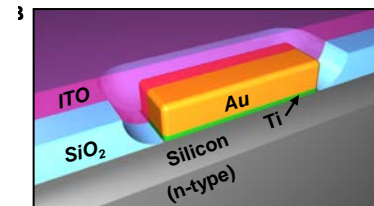
Conclusions



QM effects reduce field enhancements in narrow NP junctions and for NR



Plasmon-induced hot electron processes





Acknowledgements

<http://nordlander.rice.edu>

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Further reading

nature
materials

REVIEW ARTICLE

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The Fano resonance in plasmonic nanostructures and metamaterials

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CHEMICAL
REVIEWS

REVIEW

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Plasmons in Strongly Coupled Metallic Nanostructures

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A Plethora of Plasmonics from the Laboratory for Nanophotonics at Rice University

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REVIEW

Noble Metal Nanowires: From Plasmon Waveguides to Passive and Active Devices

SURBHI LAL,[‡] JASON H. HAFNER,^{†,§} NAOMI J. HALAS,^{*,†,‡,§}
STEPHAN LINK,^{‡,§} AND PETER NORDLANDER^{†,‡}

Nature Mat.,
9(2010)707

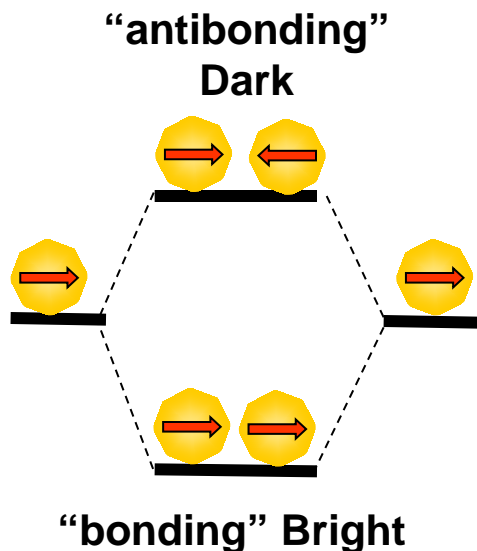
Chem. Rev.,
111(2011)3913

Adv. Mat.,
24(2012)4842

Acc. Chem. Res,
45(2012)1887

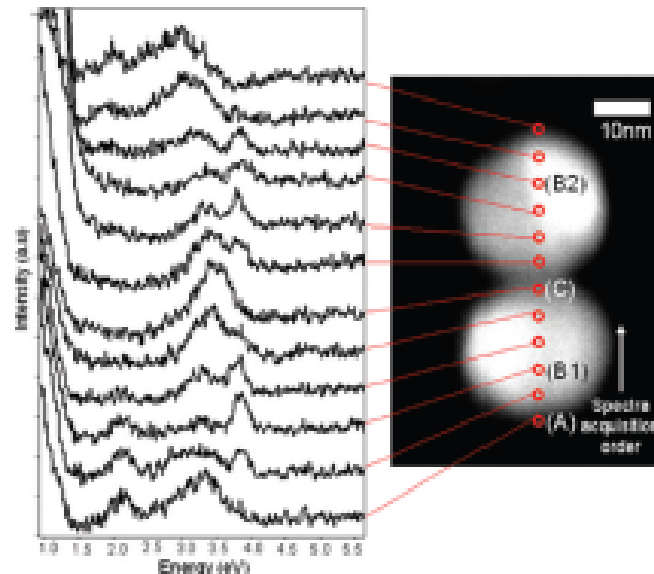
Homodimer

Dark and bright modes:



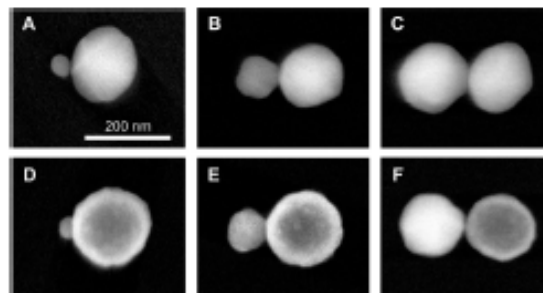
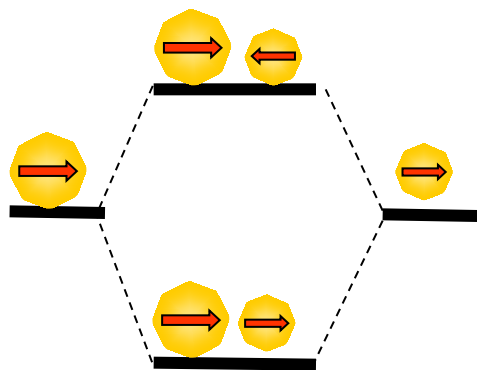
AB mode cannot be excited with light but can be observed in EELS

A.L. Koh *et al.*,
ACS Nano 3(2009)3015



Heterodimer

Both B and AB can be excited by light.



L.V. Brown *et al.*,
ACS Nano 4(2010) 819

